Risk Significance, Technical Difficulty, and Staff Effort for Individual Agreements

Table 1. Risk significance of the agreements by technical area

Technical Area	**High Risk**	**Medium Risk**	**Low Risk**
Container Life and Source Term	13	18	27
Evolution of the Near-Field Environment	10	10	21
Igneous Activity	4	9	9
Pre-Closure Operations	1	3	5
Repository Design and Thermal Mechanical Effects	3	9	11
Radionuclide Transport	2	9	18
Structural Deformation and Seismicity	0	2	8
Thermal Effects on Flow	0	8	7
Total System Performance Assessment and Integration	8	16	35
Unsaturated and Saturated Flow Under Isothermal Conditions	0	8	19
TOTALS	**41**	**92**	**160**

Table 2. Ranking of technical difficulty of the agreements by technical area

Technical Area	**Completed Agreements***	**High Difficulty**	**Medium Difficulty**	**Low Difficulty**
Container Life and Source Term	20	9	20	9
Evolution of the Near-Field Environment	13	3	12	13
Igneous Activity	12	4	3	3
Pre-Closure Operations	1	3	3	2
Repository Design and Thermal Mechanical Effects	0	6	10	7
Radionuclide Transport	5	3	16	5
Structural Deformation and Seismicity	4	1	4	1
Thermal Effects on Flow	7	4	3	1
Total System Performance Assessment and Integration	7	8	23	21
Unsaturated and Saturated Flow Under Isothermal Conditions	10	1	8	8
TOTALS	**79**	**42**	**102**	**70**

* Completed agreements were not assigned a technical difficulty ranking (i.e., level of technical difficulty was assigned to agreements not yet completed).

Table 3. Ranking of staff effort of the agreements by technical area

Technical Area	**Completed Agreements***	**High Staff Effort**	**Medium Staff Effort**	**Low Staff Effort**
Container Life and Source Term	20	9	18	11
Evolution of the Near-Field Environment	13	2	14	12
Igneous Activity	12	4	0	6
Pre-Closure Operations	1	4	3	1
Repository Design and Thermal Mechanical Effects	0	7	7	9
Radionuclide Transport	5	2	16	6
Structural Deformation and Seismicity	4	2	4	0
Thermal Effects on Flow	7	3	0	5
Total System Performance Assessment and Integration	7	8	18	26
Unsaturated and Saturated Flow Under Isothermal Conditions	10	1	8	8
TOTALS	**79**	**42**	**88**	**84**

* Completed agreements were not assigned a staff effort ranking (i.e., level of staff effort was assigned to agreements not yet completed).

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
1	CLST.1.01	Provide the documentation for Alloy 22 and titanium for the path forward items listed on slide 8. [establish credible range of brine water chemistry; evaluate effect of introduced materials on water chemistry; determine likely concentrations and chemical form of minor constituents in YM waters; characterize YM waters with respect to the parameters which define the type of brine which would evolve; evaluate periodic water drip evaporation] DOE will provide the documentation in a revision to AMR "?Environment on the Surfaces of the Drip Shield and Waste Package Outer Barrier"? by LA.	FY03 Q3	H	H	H
2	CLST.1.02	Provide the documentation for the path forward items listed on slide 12. [surface elemental analysis of alloy test specimens is necessary for determination of selective dissolution; surface analysis of welded specimens for evidence of dealloying; continue testing including simulated saturated repository environment to confirm enhancement factor] DOE will provide the documentation in a revision to AMR "?General and Localized Corrosion of Waste Package Outer Barrier"? by LA.	FY03 Q3	M	L	M
3	CLST.1.03	Provide documentation that confirms the linear polarization resistance measurements with corrosion rate measurements using other techniques. DOE will provide the documentation in a revision to AMR "?General and Localized Corrosion of Waste Package Outer Barrier"? by LA.	FY04 Q2	M	M	M
4	CLST.1.04	Provide the documentation for Alloy 22 and titanium for the path forward items listed on slide 14. [continue testing in the LTCTF; add new bounding water test environments to LTCTF (SSW & BSW); install thinner coupons in LTCTF with larger surface area/volume rations; install high sensitivity probes of Alloy 22 in some of the LTCTF vessels; materials testing continues during performance confirmation] DOE will provide the documentation in a revision to AMR "?ANL-EBS-MD-000003 and ANL-EBS-MD-000004" by LA.	FY04 Q1	H	H	H
5	CLST.1.05	Provide additional details on sensitivities, resolution of measurements, limitations, and deposition of silica for the high sensitivity probes. DOE will document the results of the sensitivity probes including limitation and resolution of measurements as affected by silica deposition in the Alloy 22 AMR and Ti Corrosion AMR (ANL-EBS-MD-000003 and ANL-EBS-MD-000004) prior to LA.	Complete	H		
6	CLST.1.06	Provide the documentation on testing showing corrosion rates in the absence of silica deposition. DOE will document the results of testing in the absence of silica deposits in the revision of Alloy 22 AMR (ANL-EBS-MD-000003) prior to LA.	In Process	M	L	L
7	CLST.1.07	Provide the documentation for the alternative methods to measure the corrosion rate of the waste package material (e.g., ASTM G-102 testing) or provide	In Process	H	L	L

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		justification for the current approach. DOE will document the alternative methods of corrosion measurement in the revision of Alloy 22 AMR (ANL-EBS-MD-000003), prior to LA.				
8	CLST.1.08	Provide the documentation for Alloy 22 and titanium for the path forward items listed on slide 16 and 17. [calculate potential-pH diagrams for multi-component Alloy 22; grow oxide films at higher temperatures in autoclaves, in air and/or electrochemically to accelerate film growth for compositional and structural studies below; resolve kinetics of film growth: parabolic or higher order, whether film growth becomes linear, and if, as film grows it becomes mechanically brittle and spalls off; determine chemical, structural, and mechanical properties of films, including thicken films; correlate changes in Ecorr measured in LTCTF with compositional changes in passive film over time; perform analyses on cold-worked materials to determine changes in film structural properties; perform examination of films formed on naturally occurring Josephinite; compare films formed on Alloy 22 with other similar passive film Alloys with longer industrial experience] DOE will provide the documentation in the revision to AMRs (ANL-EBS-MD-000003 and ANL-EBS-MD-000004) prior to LA.	FY04 Q4	H	H	H
9	CLST.1.09	Provide the data that characterizes the passive film stability, including the welded and thermally aged specimens. DOE will provide the documentation in a revision to AMRs (ANL-EBS-MD-000003 and ANL-EBS-MD-000004) prior to LA.	FY04 Q4	H	M	M
10	CLST.1.10	Provide the documentation for Alloy 22 and titanium for the path forward items listed on slide 21 and 22. [measure corrosion potentials in the LTCTF to determine any shift of potential with time toward the critical potentials for LC; determine critical potentials on welded and welded and aged coupons of Alloy 22 vs those for base metal - particularly important if precipitation or severe segregation of alloying elements occurs in the welds; separate effects of ionic mix of specimens in YM waters on critical potentials - damaging species from potentially beneficial species; determine critical potentials in environments containing heavy metal concentrations] DOE will provide the documentation in a revision to AMRs (ANL-EBS-MD-000003 and ANL-EBS-MD-000004) prior to LA.	FY04 Q1	H	M	M
11	CLST.1.11	Provide the technical basis for the selection of the critical potentials as bounding parameters for localized corrosion, taking into account MIC. DOE will provide the documentation in a revision to AMRs (ANL-EBS-MD-000003 and ANL-EBS-MD-000004) prior to LA.	FY03 Q4	H	L	M
12	CLST.1.12	Provide the documentation for Alloy 22 and titanium for the path forward items listed on slides 34 and 35. [qualify and optimize mitigation processes; generate	FY04 Q1	M	M	M

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		SCC data for mitigated material over full range of metallurgical conditions; new vessels for LTCTF will house many of the SCC specimens; continue SSRT in same types of environments as above, specimens in the same range of metallurgical conditions; determine repassivation constants needed for film rupture SCC model to obtain value for the model parameter '?n'?; continue reversing direct current potential drop crack propagation rate determinations in same types of environments and same metallurgical conditions as for SSRT and LTCTF tests; evaluate SCC resistance of welded and laser peened material vs non-welded unpeened material; evaluate SCC resistance in induction annealed material; evaluate SCC resistance of full thickness material obtained from the demonstration prototype cylinder of Alloy 22] DOE will provide the documentation in a revision to AMRs (ANL-EBS-MD-000005 and ANL-EBS-MD-000006) prior to LA.				
13	CLST.1.13	Provide the data that characterizes the distribution of stresses due to laser peening and induction annealing of Alloy 22. DOE will provide the documentation in a revision to AMR (ANL-EBS-MD-000005) prior to LA.	FY04 Q2	L	H	M
14	CLST.1.14	Provide the justification for not including the rockfall effect and deadload from drift collapse on SCC of the waste package and drip shield. DOE will provide the documentation for the rockfall and dead-weight effects in the next revision of the SCC AMR (ANL-EBS-MD-000005) prior to LA.	FY03 Q4	M	H	H
15	CLST.1.15	Provide the documentation for Alloy 22 and titanium for the path forward items listed on slide 39. [install specimens cut from welds of SR design mock-up in LTCTF and in other SCC test environments - determine which specimen geometry is most feasible to complement SCC evaluation; evaluate scaling and weld process factors between thin coupons and dimensions in actual welded waste package containers - including thermal/metallurgical structural effects of multi-pass weld processes; provide representative weld test specimens for MIC work, thermal aging and localized corrosion evaluations] DOE will provide documentation for Alloy 22 and Ti path forward items on slide 39 in a revision to the SCC and general and localized corrosion AMRs (ANL-EBS-MD-000003, ANL-EBS-MD-000004, ANL-EBS-MD-000005) by LA.	FY03 Q4	M	M	H
16	CLST.1.16	Provide the documentation on the measured thermal profile of the waste package material due to induction annealing. DOE stated that the thermal profiles will be measured during induction annealing, and the results will be reported in the next SCC AMR (ANL-EBS-MD-000005) prior to LA.	FY03 Q4	L	L	L
17	CLST.1.17	Provide additional detail on quality assurance acceptance testing. DOE stated that it would provide guidance and criteria in the next revision of the Technical	Complete	M		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		Guidance Document (TGD) for LA. The development of the LA sections and associated programs and process controls for the procurement and fabrication of waste package materials and components will be included. This will include consideration of the controls for compositional variations in Alloy 22. The TGD revision will be issued by June 2001, contingent upon NRC publication of the final 10 CFR 63 and the Yucca Mountain Review Plan.				
18	CLST.2.01	Either provide documentation using solid element formulation, or provide justification for not using it, for the drip shield - rockfall analysis. DOE stated that shell elements include normal stresses and transverse stresses in the calculations and provide more accurate results for thin plates and use far fewer elements. Therefore, shell elements will be used instead of solid elements. This justification will be documented in the next revision of AMR ANL-XCS-ME-000001, Design Analysis for the Ex-Container Components, prior to LA.	FY04 Q2	L	M	M
19	CLST.2.02	Provide the documentation for the point loading rockfall analysis. DOE stated that point loading rock fall calculations will be documented in the next revisions of AMRs ANL-XCS-ME-000001, Design Analysis for the Ex-Container Components, and ANL-UDC-MD-000001, Design Analysis for UCF Waste Packages, both to be completed prior to LA.	FY04 Q2	L	H	M
20	CLST.2.03	Demonstrate how the Tresca failure criterion bounds a fracture mechanics approach to calculating the mechanical failure of the drip shield. DOE stated that it believes its current approach of using ASME Code is appropriate for this application. Additional justification for this conclusion will be included in the next revision of AMR ANL-XCS-ME-000001, Design Analysis for the Ex-Container Components, to be completed prior to LA.	In Process	M	L	M
21	CLST.2.04	Provide information on the effect of the entire fabrication sequence on phase instability of Alloy 22, including the effect of welding thick sections using multiple weld passes and the proposed induction annealing process. DOE stated that the aging studies will be expanded to include solution annealed and induction annealed Alloy 22 weld and base metal samples from the mock-ups as well as laser peened thick, multi-pass welds. This information will be included in revisions of the AMR "?Aging and Phase Stability of the Waste Package Outer Barrier,"? ANL-EBS-MD-000002, before LA.	FY04 Q3	H	H	H
22	CLST.2.05	Provide the "?Aging and Phase Stability of Waste Package Outer Barrier,"? AMR, including the documentation of the path forward items listed in the "?Subissue 2: Effects of Phase Instability of Materials and Initial Defects on the Mechanical Failure and Lifetime of the Containers"? presentation, slides 5 & 6. [data input to current models is being further evaluated and quantified to reduce	FY04 Q3	H	M	M

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		uncertainty; aging of Alloy 22 samples for microstructural characterization, tensile property test, and Charpy impact test is ongoing; theoretical modeling will be employed to enhance confidence in extrapolating aging kinetic data to repository thermal conditions and time scale - modeling will utilize thermodynamic principles of the processes; Alloy 22 samples for SCC compact tension test are being added to aging studies; test program will be expanded to include welded and cold worked materials; effects of stress mitigation techniques such as laser peening and induction annealing on phase instability will be investigated; aging test facility will be expanded to include aging at lower temperatures] DOE stated that the "?Aging and Phase Stability of the Waste Package Outer Barrier"? AMR, ANL-EBS-MD-000002, Rev. 00 was issued 3/20/00. This AMR will be revised to include the results of the path forward items before LA.				
23	CLST.2.06	Provide the technical basis for the mechanical integrity of the inner overpack closure weld. DOE will provide the documentation in AMR, ANL-UDC-MD-000001, Rev. 00, Design Analysis for UFC Waste Packages in the next revision, prior to LA.	Complete	L		
24	CLST.2.07	Provide documentation for the fabrication process, controls, and implementation of the phases which affect the TSPA model assumptions for the waste package (e.g., filler metal, composition range). DOE stated that updates of the documentation on the fabrication processes and controls (TDR-EBS-ND-000003, Waste Package Operations Fabrication Process Report and TDP-EBS-ND-000005, Waste Package Operations FY-00 Closure Weld Technical Guidelines Document) will be available to the NRC in January 2001.	Complete	M		
25	CLST.2.08	Provide documentation of the path forward items in the "?Subissue 2: Effects of Phase Instability of Materials and Initial Defects on the Mechanical Failure and Lifetime of the Containers"? presentation, slide 16. [future rockfall evaluations will address (1) effects of potential embrittlement of WP closure material after stress annealing due to aging, (2) effects of drip shield wall thinning due to corrosion; (3) effects of hydrogen embrittlement on titanium drip shield; and (4) effects of multiple rock blocks falling on WP and drip shield; future seismic evaluations will address the effects of static loads from fallen rock on drip shield during seismic events] DOE stated that the rockfall calculations addressing potential embrittlement of the waste package closure weld and rock falls of multiple rock blocks will be included in the next revision of the AMR ANL-UDC-MD-000001, Design Analysis for UCF Waste Packages, to be completed prior to LA. Rock fall calculations addressing drip shield wall thinning due to corrosion,	FY04 Q2	H	H	H

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		hydrogen embrittlement of titanium, and rock falls of multiple rock blocks will be included in the next revision of the AMR ANL-XCS-ME-000001, Design Analysis for the Ex-Container Components, to be completed prior to LA. Seismic calculations addressing the load of fallen rock on the drip shield will be included in the next revision of the AMR ANL-XCS-ME-000001, Design Analysis for the Ex-Container Components, to be completed prior to LA.				
26	CLST.2.09	Demonstrate the drip shield and waste package mechanical analysis addressing seismic excitation is consistent with the design basis earthquake covered in the SDS KTI. DOE stated that the same seismic evaluations of waste packages and drip shield (revision of AMRs ANL-UDC-MD-000001 and ANL-XCS-ME-000001) will support both the SDS KTI and the CLST KTI, therefore consistency is ensured. These revisions will be completed prior to LA.	FY04 Q2	L	M	L
27	CLST.3.01	The agreement addresses CLST Subissues 3 & 4. In the revision to the "?Summary of In-Package Chemistry for Waste Forms,"? AMR, the NRC needs to know whether and how initial failures are included in the in-package chemistry modeling, taking into account the multiple barrier analysis. DOE stated that the Summary of In-Package Chemistry for Waste Forms ANL-EBS-MD-000050 deals with time since waste package breach, instead of time of waste package failures. The model is appropriate for the current implementation in the TSPA scenarios because breaches do not occur until after aqueous films may be sustained. Multiple barrier analyses are discussed in the TSPAI IRSR, and therefore will be discussed in the TSPA KTI Technical Exchange.	Complete	M		
28	CLST.3.02	The agreement addresses CLST Subissues 3 & 4. In the revision to the "?Summary of In-Package Chemistry for Waste Forms,"? AMR, address specific NRC questions regarding radiolysis, incoming water, localized corrosion, corrosion products, transient effects, and a sensitivity study on differing dissolution rates of components. DOE stated that these specific questions are currently being addressed in the revision of the Summary of In-Package Chemistry for Waste Forms AMR, ANL-EBS-MD-000050 and related AMRs and calculations. To be available in January 2001.	In Process	H	M	M
29	CLST.3.03	The agreement addresses CLST Subissues 3 & 4. Provide a more detailed calculation on the in-package chemistry effects of radiolysis. DOE stated that the calculations recently performed as discussed at the 9/12/00 Technical Exchange and preceeding teleconferences are being documented. These calculations will be referenced and justified in the revision of the Summary of In-Package Chemistry for Waste Forms AMR, ANL-EBS-MD-000050 and will be available in January 2001.	In Process	M	M	M

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
30	CLST.3.04	The agreement addresses CLST Subissues 3 & 4. Need consistency between abstractions for incoming water and sensitivity studies conducted for in-package calculations, in particular, taking into account the interaction of engineered materials on the chemistry of water used for input to in-package abstractions. DOE stated that the revision of the Summary of In-Package Chemistry for Waste Forms AMR, ANL-EBS-MD-000050 will discuss the applicability of abstractions for incoming water, taking into account the revised Environment on the Surfaces of the Drip Shield and Waste Package Outer Barrier AMR. The revision will be available in January 2001.	In Process	L	M	L
31	CLST.3.05	The agreement addresses CLST Subissues 3 & 4. Provide the plan for experiments demonstrating in-package chemistry, and take into account subsequent NRC comments, if any. DOE stated that the current planning provides for the analysis of additional in-package chemistry model support. This analysis will determine which parts of the model are amenable to additional support by testing, and which parts are more amenable to sensitivity analysis, or use of analogues. Based on these results, longer range testing will be considered. If testing is determined to be appropriate, test plans will be written in FY01 and made available to the NRC.	FY03 Q4	H	L	L
32	CLST.3.06	The agreement addresses CLST Subissues 3 & 4. Provide additional technical basis for the failure rate and how the rate is affected by localized corrosion. DOE stated that the technical basis for local corrosion conditions will be added to by additional discussion of local chemistry in the Summary of In-package Chemistry for Waste Forms revision ANL-EBS-MD-000050 which will be available in January 2001. Current Clad Degradation Summary Abstraction AMR Section 6.3, ANL-WIS-MD-000007 and Clad Degradation - Local Corrosion of Zirconium and its Alloys Under Repository Conditions AMR, ANL-EBS-MD-000012 contain the overall technical basis.	In Process	M	M	M
33	CLST.3.07	The agreement addresses CLST Subissues 3 & 4. Provide data to address chloride induced localized corrosion and SCC under the environment predicted by in-package chemistry modeling. DOE stated that the technical basis for the models used for localized corrosion and SCC will be expanded in future revisions of the Clad Degradation Summary Abstraction AMR, ANL-WIS-MD-000007, available by LA.	FY04 Q1	M	L	L
34	CLST.3.08	The agreement addresses CLST Subissues 3 & 4. Provide the documentation on the distribution for cladding temperature and stress used for hydride embrittlement. DOE stated that the stresses are documented in the Initial Cladding Conditions AMR, ANL-EBS-MD-000048. CAL-UDC-ME-000001	In Process	M	M	M

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		contains the waste package internal temperatures. Waste package surface temperatures were provided within the TSPA model (ANL-EBS-HS-000003, Rev 00, ICN 01 and ANL-EBS-MD-000049). The updated versions of these documents will be available in January 2001.				
35	CLST.3.09	The agreement addresses CLST Subissues 3 & 4. Provide a technical basis for critical stress that is relevant for the environment in which external SCC takes place. DOE stated that critical stress from SCC experiments under more aggressive conditions will be cited in the Revision of the Cladding Degradation Summary Abstraction AMR, ANL-WIS-MD-000007, which will be available in January 2001.	In Process	L	M	H
36	CLST.3.10	The agreement addresses CLST Subissues 3 & 4. Provide analysis of the rockfall and vibratory loading effects on the mechanical failure of cladding, as appropriate. DOE stated that the vibratory effects are documented in Sanders et. al. 1992 SAND14-2406, A Method For Determining The Spent-Fuel Contribution To Transport Cask Containment Requirements. This will be discussed in the SDS KTI meeting. The analysis of the rockfall effects on the mechanical failure of cladding will be addressed if the agreed to updated rockfall analysis in Subissue #2, Item 8 and Subissue #1, Item 14 demonstrate that the rock will penetrate the drip shield and damage the waste package.	FY04 Q1	L	M	M
37	CLST.4.01	The agreement addresses CLST Subissues 3 & 4. In the revision to the "?Summary of In-Package Chemistry for Waste Forms,"? AMR, the NRC needs to know whether and how initial failures are included in the in-package chemistry modeling, taking into account the multiple barrier analysis. DOE stated that the Summary of In-Package Chemistry for Waste Forms ANL-EBS-MD-000050 deals with time since waste package breach, instead of time of waste package failures. The model is appropriate for the current implementation in the TSPA scenarios because breaches do not occur until after aqueous films may be sustained. Multiple barrier analyses are discussed in the TSPAI IRSR, and therefore will be discussed in the TSPA KTI Technical Exchange.	Complete	L		
38	CLST.4.02	The agreement addresses CLST Subissues 3 & 4. In the revision to the "?Summary of In-Package Chemistry for Waste Forms,"? AMR, address specific NRC questions regarding radiolysis, incoming water, localized corrosion, corrosion products, transient effects, and a sensitivity study on differing dissolution rates of components. DOE stated that these specific questions are currently being addressed in the revision of the Summary of In-Package Chemistry for Waste Forms AMR, ANL-EBS-MD-000050 and related AMRs and calculations. To be available in January 2001.	Complete	M		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
39	CLST.4.03	The agreement addresses CLST Subissues 3 & 4. Provide a more detailed calculation on the in-package chemistry effects of radiolysis. DOE stated that the calculations recently performed as discussed at the 9/12/00 Technical Exchange and preceeding teleconferences are being documented. These calculations will be referenced and justified in the revision of the Summary of In-Package Chemistry for Waste Forms AMR, ANL-EBS-MD-000050 and will be available in January 2001.	Complete	L		
40	CLST.4.04	The agreement addresses CLST Subissues 3 & 4. Need consistency between abstractions for incoming water and sensitivity studies conducted for in-package calculations, in particular, taking into account the interaction of engineered materials on the chemistry of water used for input to in-package abstractions. DOE stated that the revision of the Summary of In-Package Chemistry for Waste Forms AMR, ANL-EBS-MD-000050 will discuss the applicability of abstractions for incoming water, taking into account the revised Environment on the Surfaces of the Drip Shield and Waste Package Outer Barrier AMR. The revision will be available in January 2001.	Complete	L		
41	CLST.4.05	The agreement addresses CLST Subissues 3 & 4. Provide the plan for experiments demonstrating in-package chemistry, and take into account subsequent NRC comments, if any. DOE stated that the current planning provides for the analysis of additional in-package chemistry model support. This analysis will determine which parts of the model are amenable to additional support by testing, and which parts are more amenable to sensitivity analysis, or use of analogues. Based on these results, longer range testing will be considered. If testing is determined to be appropriate, test plans will be written in FY01 and made available to the NRC.	Complete	M		
42	CLST.4.06	The agreement addresses CLST Subissues 3 & 4. Provide additional technical basis for the failure rate and how the rate is affected by localized corrosion. DOE stated that the technical basis for local corrosion conditions will be added to by additional discussion of local chemistry in the Summary of In-package Chemistry for Waste Forms revision ANL-EBS-MD-000050 which will be available in January 2001. Current Clad Degradation Summary Abstraction AMR Section 6.3, ANL-WIS-MD-000007 and Clad Degradation - Local Corrosion of Zirconium and its Alloys Under Repository Conditions AMR, ANL-EBS-MD-000012 contain the overall technical basis.	Complete	L		
43	CLST.4.07	The agreement addresses CLST Subissues 3 & 4. Provide data to address chloride induced localized corrosion and SCC under the environment predicted by in-package chemistry modeling. DOE stated that the technical basis for the	Complete	L		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		models used for localized corrosion and SCC will be expanded in future revisions of the Clad Degradation Summary Abstraction AMR, ANL-WIS-MD-000007, available by LA.				
44	CLST.4.08	The agreement addresses CLST Subissues 3 & 4. Provide the documentation on the distribution for cladding temperature and stress used for hydride embrittlement. DOE stated that the stresses are documented in the Initial Cladding Conditions AMR, ANL-EBS-MD-000048. CAL-UDC-ME-000001 contains the waste package internal temperatures. Waste package surface temperatures were provided within the TSPA model (ANL-EBS-HS-000003, Rev 00, ICN 01 and ANL-EBS-MD-000049). The updated versions of these documents will be available in January 2001.	Complete	L		
45	CLST.4.09	The agreement addresses CLST Subissues 3 & 4. Provide a technical basis for critical stress that is relevant for the environment in which external SCC takes place. DOE stated that critical stress from SCC experiments under more aggressive conditions will be cited in the Revision of the Cladding Degradation Summary Abstraction AMR, ANL-WIS-MD-000007, which will be available in January 2001.	Complete	L		
46	CLST.4.10	The agreement addresses CLST Subissues 3 & 4. Provide analysis of the rockfall and vibratory loading effects on the mechanical failure of cladding, as appropriate. DOE stated that the vibratory effects are documented in Sanders et. al. 1992 SAND90-2406, A Method For Determining The Spent-Fuel Contribution To Transport Cask Containment Requirements. This will be discussed in the SDS KTI meeting. The analysis of the rockfall effects on the mechanical failure of cladding will be addressed if the agreed to updated rockfall analysis in Subissue #2, Item 8 and Subissue #1, Item 14 demonstrate that the rock will penetrate the drip shield and damage the waste package.	Complete	L		
47	CLST.4.11	See also CLST Subissue 3 agreements. In addition, in the revision to the "?Defense High Level Waste Glass Degradation,"? AMR, address specific NRC questions regarding (a) the inconsistency of the rates in acid leg for glasses, (b) the technical basis for use of boron versus silica in the radionuclide release from glass, and (c) clarification of the definition of long term rates of glass dissolution. DOE stated that these questions will be addressed in the Defense High Level Waste AMR revision and will be available in January 2001.	Complete	M		
48	CLST.5.01	Provide Revision 1 to the Topical Report. DOE stated that it will provide the Disposal Criticality Analysis Methodology Topical Report, Revision 01, to NRC during January 2001.	In Process	L	H	M
49	CLST.5.02	Provide the Disruptive Events FEPs AMR, the FEPs database, and the	Complete	L		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		Analyses to Support Screening of System-Level Features, Events, and Processes for the Yucca Mountain Total System Performance Assessment-Site Recommendation. DOE stated that it will provide the FEPs AMRs, the Analyses to Support Screening of System-Level Features, Events, and Processes for the Yucca Mountain Total System Performance Assessment-Site Recommendation AMR, and the FEPs database to NRC during January 2001.				
50	CLST.5.03	DOE will provide an updated technical basis for screening criticality from the post-closure performance assessment. The technical basis will include (1) a determination of whether the formation of condensed water could allow liquid water to enter the waste package without the failure of the drip shield, and (2) an assessment of improper heat treatment, if it is shown to result in early failure of waste packages, considering potential failure modes. The documentation of the technical basis is comprised of (1) Analysis of Mechanisms for Early Waste Package Failure AMR, (2) Probability of Criticality Before 10,000 years calculation, and (3) Features, Event, and Process System Level and Criticality AMR. The first document will be provided to NRC in FY02, the second and third documents will be provided in FY03.	FY04 Q2	L	M	L
51	CLST.5.04	Provide the list of validation reports and their schedules. DOE stated that the geochemical model validation reports for "?Geochemistry Model Validation Report: Degradation and Release"? and "?Geochemistry Model Validation Report: Material Accumulation"? are expected to be available during 2001. The remainder of the reports are expected to be available during FY2002 subject to the results of detailed planning and scheduling. DOE understands that these reports are required to be provided prior to LA. A list of model validation reports was provided during the technical exchange and is included as an attachment to the meeting summary.	FY05 Q3	L	L	L
52	CLST.5.05	Provide information on how the increase in the radiation fields due to the criticality event affects the consequence evaluation because of increased radiolysis inside the waste package and at the surfaces of nearby waste packages or demonstrate that the current corrosion and dissolution models encompass the range of chemical conditions and corrosion potentials that would result from this increase in radiolysis. DOE stated that the preliminary assessment (calculation) of radiolysis effects from a criticality event will be available to NRC during February 2001. The final assessment of these conditions will be available to NRC prior to LA.	In Process	L	M	M
53	CLST.5.06	Provide a "?what-if"? analysis to evaluate the impact of an early criticality assuming a waste package failure. DOE stated that it would provide the	Complete	L		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		requested analyses prior to LA. Actual schedule to be provided pending DOE planning process.				
54	CLST.5.07	Provide sensitivity analyses that will include the most significant probability/consequence criticality scenarios. DOE stated that it would provide the requested analyses prior to LA. Actual schedule to be provided pending DOE planning process.	Complete	L		
55	CLST.6.01	Provide documentation for the path forward items in the "?Subissue 6: Alternate EBS Design Features - Effect on Container Lifetime"? presentation, slides 7 & 8. [perform more sensitivity measurements of general corrosion rates - same approach as taken for Alloy 22; confirm no deleterious effects of fluoride ion and trace heavy metal ions in water on corrosion behavior of titanium - similar approach to that taken in electrochemically based studies on Alloy 22; establish damaging hydrogen levels in titanium alloys - Grade 2 vs Grades 7 and 16 vs Grade 5 and 24 - evaluate hydrogen charged notched tensile speciems and hydrogen pickup of galvanically coupled LTCTF specimens; conduct SCC testing of titanium, similar to approach taken for Alloy 22; confirm intergranular or internal oxidation of titanium is not applicable under YM thermal and environmental conditions] DOE stated that the documentation of the path forward items will be completed and as results become available, they will documented in the revisions of AMRs (ANL-EBS-MD-000005, Stress Corrosion Cracking of the Drip Shield, the Waste Package Outer Barrier and the Stainless Structural Material, and ANL-EBS-MD-000004, General Corrosion and Localized Corrosion of the Drip Shield), to be completed by LA.	FY03 Q4	M	L	H
56	CLST.6.02	Provide additional justification for the use of a 400 ppm hydrogen criterion or perform a sensitivity analysis using a lower value. DOE stated that additional justification will be found in the report "?Review of Expected Behaviour of Alpha Titanium Alloys under Yucca Mountain Condition"? TDR-EBS-MD-000015, which is in preparation and will be available in January 2001.	In Process	L	M	M
57	CLST.6.03	Provide the technical basis for the assumed fraction of hydrogen absorbed into titanium as a result of corrosion. DOE stated that additional justification will be found in the report "?Review of Expected Behaviour of Alpha Titanium Alloys under Yucca Mountain Condition"? TDR-EBS-MD-000015, which is in preparation and will be available in January 2001.	In Process	L	L	M
58	CLST.6.04	Provide temperature distribution (CCDF) of the drip shield as a function of time under the current EBS design. DOE stated that the temperature distribution will be provided in the next revision of the AMR, ANL-EBS-MD-000049, Rev 00, ICN 01, which will be available in January 2001.	Complete	L		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
59	ENFE.1.01	Provide updated FEPs AMRs with additional technical bases for those FEPs previously identified by the NRC in Rev. 03 of the ENFE IRSR as inadequately screened. In Rev 03 of the ENFE IRSR, the NRC identified 17 FEPs associated with Subissue 1 for which no screening arguments were identified in the FEPs data base, screening arguments were inconsistent with other project documents, or inadequate exclusion arguments were provided. The lack of screening arguments has been addressed in Rev 00 of the FEPs data base and Rev 00 of the supporting AMRs. Current revisions (or ICNs) of the FEPs AMRs, scheduled for completion in January 2001, will partially address the remaining NRC comments. Consideration of the remaining NRC comments will be provided in subsequent FEPs AMR revisions, expected to be available as periodic revisions, the entirety of which will be available prior to license application.	Complete	M		
60	ENFE.1.02	Provide the FEPs database. The DOE will provide the FEPs data base to the NRC during March 2001.	Complete	L		
61	ENFE.1.03	Provide the Drift-Scale Coupled Processes (DST and THC Seepage) Models AMR, Rev. 01 and 02, including (1) information on the quantity of unreacted solute mass that is trapped in dry-out zone in TOUGHREACT simulations, as well as how this would affect precipitation and the resulting change in hydrologic properties and (2) documentation of model validation consistent with the DOE QA requirements. The DOE will provide documentation of model validation, consistent with the DOE QA requirements, in the Drift-Scale Coupled Processes (DST and THC Seepage) Models AMR (MDL-NBS-HS-000001) Rev 01, expected to be available to the NRC in March 2001. The DOE will provide information on the quantity of unreacted solute mass that is trapped in the dryout zone in TOUGHREACT simulations in the Drift-Scale Coupled Processes (DST and THC Seepage) Models AMR Rev 02, expected to be available to the NRC in FY 02.	FY03 Q4	M	L	M
62	ENFE.1.04	Provide additional technical bases for the DOE'?s treatment of the effects of cementitious materials on hydrologic properties. The DOE will provide additional information on the effects of cementitious materials in an update to the Unsaturated Zone Flow and Transport PMR (TDR-NBS-HS-000002), available in FY 02. Information provided will include results of evaluation of the magnitude of potential effects on hydrologic properties and radionuclide transport characteristics of the unsaturated zone.	FY04 Q1	L	L	L
63	ENFE.1.05	Address the various sources of uncertainty (e.g., model implementation, conceptual model, and data uncertainty (hydrologic, thermal, and geochemical))	FY03 Q3	M	M	H

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		in the THC model. The DOE will evaluate the various sources of uncertainty in the THC process model, including details as to how the propagation of various sources of uncertainty are calculated in a systematic uncertainty analysis. The DOE will document that uncertainty evaluation in the Drift-Scale Coupled Processes (DST and THC Seepage) Models AMR (MDL-NBS-HS-000001) Rev 02 (or in another future document), expected to be available in FY 02.				
64	ENFE.1.06	Provide the technical basis for excluding entrained colloids in the analysis of FEP 2.2.10.06.00 (Thermo-Chemical Alteration) or an alternative FEP. The DOE will provide the technical basis for screening entrained colloids in the analysis of FEP 2.2.10.06.00 in a future revision of the Features, Events, and Processes in UZ Flow and Transport AMR (ANL-NBS-MD-000001), expected to be available in FY 02.	FY04 Q2	L	L	L
65	ENFE.1.07	Provide physical evidence that supports the model of matrix fracture interaction precipitation effects (e.g., coring). The DOE will provide the following evidence that supports the model of matrix/fracture interaction precipitation effects: (1) Existing data from the Single Heater Test (SHT) of post-test overcoring Mineralogy-Petrology (Min-Pet) analysis (SHT final report [MOL.20000103.0634] and DTN LASL831151.AQ98.001) is expected to be provided to the NRC in March 2001. (2) Results of ongoing side-wall sampling Min-Pet analyses of DST samples are expected to be provided to the NRC in FY 02. (3) The DOE expects to provide the Drift-Scale Coupled Processes (DST and THC Seepage) Models AMR (MDL-NBS-HS-000001) Rev 01 to the NRC as evidence of matrix-fracture interaction in March 2001.	In Process	L	M	M
66	ENFE.2.01	Provide updated FEPs AMRs with additional technical bases for those FEPs previously identified by the NRC in Rev. 03 of the ENFE IRSR as inadequately screened. In Rev 03 of the ENFE IRSR, the NRC identified 24 FEPs associated with Subissue 2 for which no screening arguments were identified in the FEPs data base, screening arguments were inconsistent with other project documents, or inadequate exclusion arguments were provided. The lack of screening arguments has been addressed in Rev 00 of the FEPs data base and Rev 00 of the supporting AMRs. Current revisions (or ICNs) of the FEPs AMRs, scheduled for completion in January 2001, will partially address the remaining NRC comments. Consideration of the remaining NRC comments will be provided in subsequent FEPs AMR revisions, expected to be available as periodic revisions, the entirety of which will be available prior to license application.	Complete	M		
67	ENFE.2.02	Provide the FEPs database. The DOE will provide the FEPs data base to the	Complete	L		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		NRC during March 2001.				
68	ENFE.2.03	Provide the technical basis for FEP 1.2.06.00 (Hydrothermal Activity), addressing points (a) through (e) of NRC Subissue 2 slide handed out at the January 2001 ENFE technical exchange. The DOE will provide additional technical bases for the screening of FEP 1.2.06.00 (Hydrothermal Activity), in a future revision of the Features, Events, and Processes in UZ Flow and Transport AMR (ANL-NBS-MD-000001), expected to be available in FY 02. Within these technical bases, the DOE will address NRC comments [points (a) through (e)] presented on the NRC Subissue 2 slide handed out at the January 2001 ENFE technical exchange or provide justification that it is not needed.	FY04 Q3	L	M	L
69	ENFE.2.04	Provide the technical basis for bounding the trace elements and fluoride for the geochemical environment affecting the drip shield and waste package, including the impact of engineered materials. The DOE will document the concentrations of trace elements and fluoride in waters that could contact the drip shield and waste package in a revision to the Environment on the Surfaces of the Drip Shield and Waste Package Outer Barrier AMR (ANL-EBS-MD-000001), which will be available in FY02. In addition, trace elements and fluoride concentrations in introduced materials in the EBS (including cement grout, structural steels, and other materials as appropriate) will be addressed in a revision to the Engineered Barrier System: Physical and Chemical Environment Model AMR (ANL-EBS-MD-000033), expected to be available in FY 02.	FY03 Q3	H	L	L
70	ENFE.2.05	Evaluate data and model uncertainties for specific in-drift geochemical environment submodels used in TSPA calculations and propagate those uncertainties following the approach described in Agreement #5, Subissue 1. The DOE will evaluate data and model uncertainties for specific in-drift geochemical environment submodels used in TSPA calculations and propagate those uncertainties following the approach described in Subissue 1, Agreement #5. The DOE will document the evaluation in an update to the Engineered Barrier System: Physical and Chemical Environment Model AMR (ANL-EBS-MD-000033) (or in another future document), expected to be available in FY 02.	FY03 Q3	H	M	M
71	ENFE.2.06	Evaluate the impact of the range of local chemistry (e.g., dripping of equilibrated evaporated cement leachate and corrosion products) conditions at the drip shield and waste package considering the chemical divide phenomena that may propagate small uncertainties into large effects. The DOE will evaluate the range of local chemical conditions at the drip shield and waste package (e.g. local variations in water composition associated with cement leaching or the presence of corrosion products), considering potential evaporative concentration	FY03 Q4	H	H	M

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		and the chemical divide effect whereby small differences in initial composition could cause large differences in brine characteristics. This evaluation will be documented in a revision to the Engineered Barrier System: Physical and Chemical Environment Model AMR (ANL-EBS-MD-000033), expected to be available in FY 02.				
72	ENFE.2.07	Identify specific coupling relationships that are included and excluded from TSPA, including Onsager couples, and give technical bases for their inclusion or exclusion. The DOE will identify specific coupling relationships that are included and excluded from TSPA, including Onsager couples, and give the technical basis for inclusion and exclusion. This information will be documented in a revision to the Engineered Barrier System Degradation, Flow, and Transport PMR (TDR-EBS-MD-000006), expected to be available by September 2001.	Complete	H		
73	ENFE.2.08	Provide stronger technical basis for the suppression of individual minerals predicted by equilibrium models. The DOE will provide additional technical basis for suppression of individual minerals predicted by equilibrium models, in a revision to the Engineered Barrier System: Physical and Chemical Environment Model AMR (ANL-EBS-MD-000033), expected to be available in FY02.	In Process	L	L	L
74	ENFE.2.09	Provide the In-Drift Precipitates/Salts Analysis AMR, Rev. 00, ICN 02, including (1) the major anionic (e.g., fluoride or chloride) and cationic species, and (2) additional technical basis for the low relative humidity model. The DOE will provide the In-Drift Precipitates/Salts Analysis AMR (ANL-EBS-MD-000045), Rev. 00, ICN 02, including the major anionic (e.g., fluoride or chloride) and cationic species, in January 2001. The DOE will provide to the NRC an update to the In-Drift Precipitates/Salts Analysis AMR (ANL-EBS-MD-000045) that will provide additional technical bases for the low relative humidity model, expected to be available in FY 02.	FY04 Q2	H	M	M
75	ENFE.2.10	Provide additional information about the range of composition of waters that could contact the drip shield or waste package, including whether such waters are of the bicarbonate or chloride-sulfate type. The DOE will describe the range of bulk composition for waters that could affect corrosion of the drip shield or waste package outer barrier, in a revision to the Environment on the Surfaces of the Drip Shield and Waste Package Outer Barrier AMR (ANL-EBS-MD-000001), expected to be available in FY02.	FY03 Q4	M	M	M
76	ENFE.2.11	Provide the technical basis for the current treatment of the kinetics of chemical processes in the in-drift geochemical models. This basis should address data in the figure on page 16 of the G.Gdowski Subissue 2 presentation with appropriate treatment of time as related to abstractions used in TSPA. The	FY04 Q2	M	M	M

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		DOE will provide additional technical basis for the treatment of precipitation-dissolution kinetics by the in-drift geochemical models, in a revision to the Engineered Barrier System: Physical and Chemical Environment Model AMR (ANL-EBS-MD-000033), expected to be available in FY02. The technical basis will include reaction progress simulation for laboratory evaporative concentration tests, and will include appropriate treatment of time as related to the residence times associated with the abstractions used to represent in-drift processes in TSPA.				
77	ENFE.2.12	Provide the documentation and analysis of the column crush tuff experiments. The DOE will provide documentation of the results obtained from the crushed tuff hydrothermal column experiment, and of post-test analysis, in new reports specific to the column test, expected to be available by September 2001.	Complete	L		
78	ENFE.2.13	Provide documentation regarding the deposition of dust and its impact on the salt analysis. The DOE will provide documentation of dust sampling in the Exploratory Studies Facility, and analysis of the dust and evaluation of its impact on the chemical environment on the surface of the drip shield and waste package, in a revision to the Engineered Barrier System: Physical and Chemical Environment Model AMR (ANL-EBS-MD-000033), expected to be available in FY02.	FY04 Q2	H	L	L
79	ENFE.2.14	Provide the analysis of laboratory solutions that have interacted with introduced materials. The DOE will provide additional information about laboratory solutions that have interacted with introduced materials, in a revision to the Environment on the Surfaces of the Drip Shield and Waste Package Outer Barrier AMR (ANL-EBS-MD-000001), expected to be available in FY02.	FY03 Q4	M	L	L
80	ENFE.2.15	Provide the additional data to constrain the interpolative low relative humidity salts model. The data should provide the technical basis as to why the assumption of the presence of sodium nitrate is conservative, when modeling and experimental results indicate the presence of other mineral phases for which the deliquesence point is unknown. The DOE will provide additional information to constrain the low-relative humidity salts model. The information will include the deliquescence behavior of mineral assemblages derived from alternative starting water compositions (including bulk water compositions, and local variations associated with cement leaching or the presence of corrosion products) representing the range of potential water compositions in the emplacement drifts. This information will be documented in a revision to the In-Drift Precipitates/Salts Analysis AMR (ANL-EBS-MD-000045), expected to be available in FY02.	FY04 Q2	H	M	M

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
81	ENFE.2.16	Provide the Drift-Scale Coupled Processes (DST and THC Seepage) Models, Rev. 01, including information supporting both the limited suite mineral model and the more complete extended model. The DOE will provide the Drift-Scale Coupled Processes (DST and THC Seepage) Models AMR (MDL-NBS-HS-000001) Rev 01, including information supporting both the limited suite mineral model and the more complete extended model, in March 2001.	Complete	M		
82	ENFE.2.17	Provide documentation of data used to calibrate models and data to support model predictions, and an assessment of data uncertainty (e.g., sampling and analytical), that includes critical analyses of variables that affect the data measurements and their interpretations (e.g., drift-scale thermal test and evaporation tests). The DOE will provide documentation of data used to calibrate models and data to support model predictions, and an assessment of data uncertainty (e.g., sampling and analytical) in the area of water and gas chemistry from the drift-scale thermal tests and evaporation tests. This documentation will be provided in revisions to the following AMRs: Environment on the Surfaces of the Drip Shield and Waste Package Outer Barrier (ANL-EBS-MD-000001), Engineered Barrier System: Physical and Chemical Environment Model (ANL-EBS-MD-000033), and Drift-Scale Coupled Processes (DST and THC Seepage) Models (MDL-NBS-HS-000001), or other documents as appropriate. All documents or revisions are expected to be available in FY 02.	FY04 Q3	H	M	H
83	ENFE.2.18	The NRC and DOE agreed the following documents would be provided with the schedule indicated: Engineered Barrier System: Physical and Chemical Environment Model (ANL-EBS-MD-000033) Rev. 01: FY 02; Multiscale Thermohydrologic Model (ANL-EBS-MD-000049) Rev. 00, ICN 01: January 2001;Abstraction of Drift-Scale Coupled Processes (ANL-NBS-HS-000029) Rev 01: September 2001; Environment on the Surfaces of the Drip Shield and the Waste Package Outer Barrier (ANL-EBS-MD-000001) Rev. 00, ICN 01: January 2001; Waste Package Degradation PMR (TDR-WIS-MD-000002) Rev. 00, ICN 01: January 2001; Engineered Barrier System Degradation, Flow, and Transport PMR (TDR-EBS-MD-000006) Rev. 01: September 2001; Near Field Environment PMR (TDR-NBS-MD-000001) Rev. 00, ICN 02: January 2001 and Rev. 01: September 2001; Hydrogen Induced Cracking of Drip Shield (ANL-EBS-MD-000006) Rev. 00, ICN 01: January 2001; Drift Degradation Analysis (ANL-EBS-MD-000027) Rev. 01: January 2001; Design Analysis for the Ex-Container Components, ANL-XCS-ME-000001 Rev. 00: January 2001; Longevity of Emplacement Drift Ground Support Materials (ANL-EBS-GE-000003) Rev. 01: January 2001; Stress Corrosion Cracking of the Drip Shield,	FY04 Q3	L	L	L

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		the Waste Package Outer Barrier, and the Stainless Steel Structural Material AMR (ANL-EBS-MD-000005) Rev. 00, ICN 01: January 2001; In-Drift Microbial Communities (ANL-EBS-MD-000038) Rev. 00, ICN 01: January 2001; Physical and Chemical Environmental Abstraction Model (ANL-EBS-MD-000046) Rev. 00, ICN 01: January 2001; Unsaturated Zone Flow and Transport Model PMR (TDR-NBS-HS-000002) Rev. 01: September 2001; General Corrosion and Localized Corrosion of the Drip Shield (ANL-EBS-MD-000004) Rev. 00: January 2001; Water Distribution and Removal Model (ANL-EBS-MD-000032) Rev. 01: January 2001.				
84	ENFE.3.01	The NRC and DOE agreed the following documents would be provided in February 2001: WAPDEG Analysis of Waste Package and Drip Shield Degradation AMR (ANL-EBS-PA-000001) Rev 00 ICN 01; Near Field Environment PMR (TDR-NBS-MD-000001) Rev 00 ICN 03; Summary of In-Package Chemistry for Waste Forms AMR (ANL-EBS-MD-000050) Rev 01; Calculation of General Corrosion Rate of Drip Shield and Waste Package Outer Barrier to Support WAPDEG Analysis (CAL-EBS-PA-000002) Rev 01; Abstraction of Models for Stainless Steel Structural Material Degradation (ANL-EBS-PA-000005) Rev 00; In-Package Chemistry Abstraction AMR (ANL-EBS-MD-000037) Rev 01; Total System Performance Assessment for the Site Recommendation (TDR-WIS-PA-000001) Rev 00; Waste Form Colloid-Associated Concentrations Limits: Abstraction and Summary AMR (ANL-WIS-MD-000012) Rev 00 ICN 01	Complete	L		
85	ENFE.3.02	Provide the thermodynamic database and the report associated with the database. The DOE will provide the thermodynamic data base [Input Transmittal for Thermodynamic Data Input Files for Geochemical Calculations (MO0009THRMODYN.001)] and Data Qualification Report for the Thermodynamic Data File, DATA0.ympR0 for Geochemical Code EQ 3/6 (TDR-EBS-MD-000012) to the NRC in February 2001.	Complete	L		
86	ENFE.3.03	Provide analyses to verify that bulk-scale chemical processes dominate the in-package chemical environment. The DOE will provide analyses justifying the use of bulk chemistry as opposed to local chemistry for solubility and waste form degradation models. These analyses will be documented in an update to the Miscellaneous Waste-Form FEPs AMR (ANL-WIS-MD-000009) or in an update to the Summary of In-Package Chemistry for Waste Forms AMR (ANL-EBS-MD-000050), expected to be available in FY 02.	FY03 Q3	H	M	M
87	ENFE.3.04	Complete validation of in-package chemistry models. Agreement #5 for CLST subissue 3 addresses testing plans. Model validation based on this testing and	FY03 Q3	H	H	H

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		further analysis will be documented in an update to the Summary of In-Package Chemistry for Waste Forms AMR (ANL-EBS-MD-000050), expected to be available in FY 02.				
88	ENFE.3.05	Provide the technical basis for selection of radionuclides that are released via reversible and irreversible attachment to colloids for different waste forms in the TSPA. The technical bases for the selection of radionuclides released via reversible and irreversible attachments to colloids for different waste forms is provided in section 3.5.6.1 of the Total System Performance Assessment (TSPA) Model for Site Recommendation (MDL-WIS-PA-000002) Rev 00. This document will be provided to the NRC in January 2001.	In Process	L	M	L
89	ENFE.4.01	Provide the executable version of the most recently qualified version of TOUGHREACT. The DOE will provide the executable TOUGHREACT Rev 2.2 to the NRC by February 2001, subject to the NRC obtaining any applicable agreement for usage of the software.	Complete	L		
90	ENFE.4.02	Provide the Drift-Scale Coupled Processes (DST and THC Seepage) Models AMR, Rev. 01 and 02. The DOE will provide the Drift-Scale Coupled Processes (DST and THC Seepage) Models AMR (MDL-NBS-HS-000001) Rev 01 to the NRC in March 2001. The DOE will provide the Drift-Scale Coupled Processes (DST and THC Seepage) Models AMR Rev 02 to the NRC in FY 02.	In Process	L	M	M
91	ENFE.4.03	Provide the technical bases for screening out coupled THC effects on radionuclide transport properties and colloids. The DOE will provide the technical bases for screening out coupled THC effects on radionuclide transport properties and colloids in a new AMR or in a revision to an existing AMR, expected to be available in FY 02.	FY04 Q2	L	M	M
92	ENFE.4.04	Provide the technical basis for excluding entrained colloids in the analysis of FEP 2.2.10.06.00 (Thermo-Chemical Alteration) or an alternative FEP. The DOE will provide the technical basis for screening entrained colloids in the analysis of FEP 2.2.10.06.00 in a future revision of the Features, Events, and Processes in UZ Flow and Transport AMR (ANL-NBS-MD-000001), expected to be available in FY 02.	FY04 Q2	L	L	L
93	ENFE.4.05	Provide the screening criteria for the radionuclides selected for PA. Provide the technical basis for selection of radionuclides that are transported via colloids in the TSPA. The screening criteria for radionuclides selected for TSPA are contained in the AMR Inventory Abstraction (ANL-WIS-MD-000006) Rev 00, ICN 01. The DOE is documenting identification of radionuclides transported via colloids for TSPA in the AMR Colloid-Associated Concentration Limits: Abstraction and Summary (ANL-WIS-MD-000012) Rev 0, in the Total System	In Process	L	L	L

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		Performance Assessment for the Site Recommendation (TDR-WIS-PA-000001) Rev 00 ICN 01, and in the Total System Performance Assessment (TSPA) Model for Site Recommendation (MDL-WIS-PA-000002) Rev 00. These documents will be available to the NRC in January 2001.				
94	ENFE.4.06	Provide documentation to demonstrate suitability of the bounding values used for colloid transport through the perturbed near-field environment. For example, consider sensitivity analyses to investigate the effects of varying colloid sorption parameters (Kc) on repository performance. The DOE will evaluate the suitability of the colloid transport model under perturbed conditions as discussed in agreement #3 for this subissue. As part of this work, the DOE will consider sensitivity analyses to investigate the effects of varying colloid sorption parameters (Kc) on repository performance. The DOE will also provide the TSPA-SR (TDR-WIS-PA-000001) Rev 00 ICN 01 in January 2001. The TSPA-SR includes sensitivity studies in the form of barrier degradation and parameter sensitivity analyses that investigate the effect of sorption and colloid parameters on repository performance.	FY04 Q2	M	M	M
95	ENFE.4.07	Provide updated FEPs AMRs with additional technical bases for those FEPs previously identified by the NRC in Rev. 03 of the ENFE IRSR as inadequately screened. In Rev 03 of the ENFE IRSR, the NRC identified 17 FEPs associated with Subissue 1 for which no screening arguments were identified in the FEPs data base, screening arguments were inconsistent with other project documents, or inadequate exclusion arguments were provided. The lack of screening arguments has been addressed in Rev 00 of the FEPs data base and Rev 00 of the supporting AMRs. Current revisions (or ICNs) of the FEPs AMRs, scheduled for completion in January 2001, will partially address the remaining NRC comments. Consideration of the remaining NRC comments will be provided in subsequent FEPs AMR revisions, expected to be available as periodic revisions, the entirety of which will be available prior to license application.	Complete	M		
96	ENFE.4.08	Provide the FEPs database. The DOE will provide the FEPs data base to the NRC during March 2001.	Complete	L		
97	ENFE.5.01	Provide Revision 1 to the Topical Report. DOE will provide the Disposal Criticality Analysis Methodology Topical Report, Revision 01, to NRC during January 2001.	In Process	L	L	L
98	ENFE.5.02	Provide the updated FEPs database. DOE stated that it would provide the FEPs AMRs and the FEPs database to NRC during January 2001.	Complete	L		
99	ENFE.5.03	Provide the applicable list of validation reports and their schedules for external	FY04 Q3	L	L	L

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		criticality. DOE stated that the geochemical model validation reports for "?Geochemistry Model Validation Report: Degradation and Release"? and "?Geochemistry Model Validation Report: Material Accumulation"? are expected to be available during 2001. The remainder of the reports are expected to be available during FY2002 subject to the results of detailed planning and scheduling. DOE understands that these reports are required to be provided prior to LA. A list of model validation reports was provided during the technical exchange and is included as an attachment to the meeting summary.				
100	GEN 1.01	For NRC comments 3, 5, 8, 9, 10, 12, 13, 15, 16, 18, 21, 24, 27, 36, 37, 41, 42, 45, 46, 50, 56, 64, 69, 75, 78, 81, 82, 83, 93, 95, 96, 97, 98, 102, 103, 104, 106, 109, 110, 111, 113, 116, 118, 119, 120, 122, 123, 124, and 126, DOE will address the concern in the documentation for the specific KTI agreement identified in the DOE response (Attachment 2). The schedule and document source will be the same as the specific KTI agreement.	FY05 Q1	H	H	H
101	IA.1.01	In addition to DOE's licensing case, include for Site Recommendation and License Application, for information purposes, the results of a single point sensitivity analysis for extrusive and intrusive igneous processes at 10E-7. DOE agreed that the analysis will be included in TSPA-SR Rev. 0 and will be available to the NRC in November 2000.	Complete	M		
102	IA.1.02	Examine new aeromagnetic data for potential buried igneous features (see U.S. Geological Survey, Open-File Report 00-188, Online Version 1.0), and evaluate the effect on the probability estimate. If the data survey specifications are not adequate for this use, this action is not required. DOE agreed and will document the results of the evaluation in an update to the AMR, Characterize Framework for Igneous Activity at Yucca Mountain, Nevada (ANL-MGR-GS-000001), expected to be available in FY 2003.	In Process	H	H	H
103	IA.2.01	Re-examine the ASHPLUME Code to confirm that particle density is appropriately changed when waste particles are incorporated into the ash. (Eruptive AC-4) DOE agreed and will correct the description in the ICN to AMR, Igneous Consequences Modeling for TSPA-SR [ANL-WIS-MD-000017] as needed to address the concern. This will be available to the NRC in January 2001.	Complete	L		
104	IA.2.02	Document results of sensitivity studies for particle size, consistent with (1) above. (Eruptive AC-4) DOE agreed and will document the waste particle size sensitivity study in a calculation document. This will be available to the NRC in FY2002	Complete	L		
105	IA.2.03	Document how the tephra volumes from analog volcanos represent the likely	In	M	L	L

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		range of tephra volumes from Yucca Mountain Region (YMR) volcanos. (Eruptive AC-1) DOE agreed and will document the basis for determining the range of tephra volumes that is likely from possible future volcanoes in the YMR in the Eruptive Processes AMR (ANL-MGR-GS-000002). This will be available to the NRC in FY2002.	Process			
106	IA.2.04	Document that the ASHPLUME model, as used in the DOE performance assessment, has been compared with an analog igneous system. (Eruptive AC-2) DOE agreed and will complete calculation CAL-WIS-MD-000011 that will document a comparison of the ASHPLUME code results to observed data from the 1995 Cerro Negro eruption. This will be available to the NRC in January 2001. DOE will consider Cerro Negro as an analog and document that in the Eruptive Processes AMR (ANL-MGR-GS-000002). This will be available to the NRC in FY2002.	Complete	L		
107	IA.2.05	Document how the current approach to calculating the number of waste packages intersected by conduits addresses potential effects of conduit elongation along a drift. (Eruptive AC-3) DOE agreed and will document the way in which the change in geometry of the repository drifts affects the number of waste packages incorporated into the volcanic conduit. Possible consequences of conduit elongation parallel to drifts will be documented in TSPA-SR Rev. 1, available to the NRC in June 2001.	Complete	M		
108	IA.2.06	Develop a linkage between soil removal rate used in TSPA and surface remobilization processes characteristics of the Yucca Mountain region (which includes additions and deletions to the system). (Eruptive AC-5) DOE agreed and will document its approach to include uncertainty related to surface-redistribution processes in TSPA-SR, Rev. 0. DOE will revisit the approach in TSPA-SR, Rev. 1. This documentation will be available to the NRC in June 2001.	Complete	M		
109	IA.2.07	Document the basis for airborne particle concentrations used in TSPA in Rev. 1 to the Input Values for External and Inhalation Radiation Exposure AMR. (Eruptive AC-5) DOE agreed and will provide documentation for the input values in the Input Parameter Values for External and Inhalation Radiation Exposure Analysis AMR [ANL-MGR-MD-000001] Rev. 1. This will be available to NRC in January 2001.	Complete	H		
110	IA.2.08	Provide additional justification on the reasonableness of the assumption that the inhalation of particles in the 10-100 micron range is treated as additional soil ingestion, or change the BDCFs to reflect ICRP-30. (Eruptive AC-5) DOE agreed and will review how 10-100 micron particles are considered in the model	Complete	L		

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		for the eruptive scenario. The results will be documented in Input Parameter Values for External and Inhalation Radiation Exposure Analysis AMR [ANL-MGR-MD-000001] Rev. 1. This will be available to the NRC in January 2001.				
111	IA.2.09	Use the appropriate wind speeds for the various heights of eruption columns being modeled. (Eruptive AC-5) DOE agreed and will evaluate the wind speed data appropriate for the height of the eruptive columns being modeled. This will be documented in a calculation document. This will be available to the NRC in FY2002.	In Process	M	L	L
112	IA.2.10	Document the ICNs to the Igneous Consequences AMR and the Dike Propagation AMR regarding the calculation of the number of waste packages hit by the intrusion. Include in these or other documents (1) the intermediate results of the releases from Zone 1 and 2, separately, and (2) the evaluation of thermal and mechanical effects, as well as shock, in assessing the degree of waste package damage in Zone 1 and 2. (Intrusive AC 4) DOE agreed and will provide ICN 1 of the following AMRs: Igneous Consequences Modeling for TSPA-SR AMR [ANL-WIS-MD-000017], the Dike Propagation Near Drifts AMR [ANL-WIS-MD-000015], the Characterize Framework for Igneous Activity at Yucca Mountain, Nevada AMR [ANL-MGR-GS-000001], and the Calculation Number of Waste Packages Hit by Igneous Intrusion [CAL-WIS-PA-000001]. This will be available to the NRC in January 2001. DOE will provide the results showing the relative contributions of releases from Zones 1 and 2 in a calculation document. This will be available to the NRC in FY2002. DOE will provide the evaluation of thermal mechanical effects on waste package damage in Zones 1 and 2 in ICN 1 of the Dike Propagation Near Drifts AMR [ANL-WIS-MD-000015]. This will be available to the NRC in January 2001.	Complete	M		
113	IA.2.11	Provide an analysis that shows the relationship between any static measurements used in the TSPA and expected types and durations of surface disturbing activities associated with the habits and lifestyles of the critical group. DOE will provide an analysis that shows the relationship between any static measurements used in the TSPA and expected types and durations of surface disturbing activities associated with the habits and lifestyles of the critical group in a subsequent revision to the AMR Input Parameter Values for External and Inhalation Radiation Exposure Analysis (ANL-MGR-MD-000001) or equivalent document. This will be available to the NRC in FY02.	FY04 Q1	M	L	M
114	IA.2.12	Provide clarifying information on how PM10 measurements have been extrapolated to TSP concentrations. This should include consideration of the difference in behavior between PM10 and TSP particulates under both static	Complete	L		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		and disturbed conditions. DOE will provide clarifying information on how PM10 measurements have been extrapolated to TSP concentrations. This will include consideration of the difference in behavior between PM10 and TSP particulates under both static and disturbed conditions in a subsequent revision to the AMR Input Parameter Values for External and Inhalation Radiation Exposure Analysis (ANL-MGR-MD-000001) or equivalent document. This will be available to the NRC in FY02.				
115	IA.2.13	Provide the justification that sampling of range of transition period BDCFs is necessarily conservative in evaluating long-term remobilization processes. DOE will provide the justification that sampling of range of transition period BDCFs is necessarily conservative in evaluating long-term remobilization processes in a subsequent revision to the AMR Input Parameter Values for External and Inhalation Radiation Exposure Analysis (ANL-MGR-MD-000001) or equivalent document. This will be available to the NRC in FY02.	Complete	L		
116	IA.2.14	Provide information clarifying the method used in TSPA to calculate how deposit thickness effects the average mass load over the transition period. DOE will provide information clarifying the method used in TSPA to calculate how deposit thickness effects the average mass load over the transition period in a subsequent revision to the AMR Input Parameter Values for External and Inhalation Radiation Exposure Analysis (ANL-MGR-MD-000001) or equivalent document. This will be available to the NRC in FY02.	FY03 Q4	L	L	M
117	IA.2.15	Clarify that external exposure from HLW-contaminated ash, in addition to inhalation and ingestion, was considered in the TSPA. Include in this clarification the consideration of external exposure during indoor occupancy times, or provide basis for dwelling shielding from outdoor gamma emitters. DOE will clarify that external exposure from HLW-contaminated ash, in addition to inhalation and ingestion, was considered in the TSPA. DOE will include in this clarification the consideration of external exposure during indoor occupancy times, or provide basis for dwelling shielding from outdoor gamma emitters in a subsequent revision to the AMR Input Parameter Values for External and Inhalation Radiation Exposure Analysis (ANL-MGR-MD-000001) or equivalent document. This will be available to the NRC in FY02.	FY04 Q1	L	L	M
118	IA.2.16	Document that neglecting the effects of climate change on disruptive event BDCFs is conservative. DOE will document that neglecting the effects of climate change on disruptive event BDCFs is conservative in a subsequent revision to the AMRs Input Parameter Values for External and Inhalation Radiation Exposure Analysis (ANL-MGR-MD-000001) and Disruptive Event	Complete	L		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		Biosphere Dose Conversion Factor Analysis (ANL-MGR-MD-000003) or equivalent document. This will be available to the NRC in FY02.				
119	IA.2.17	DOE will evaluate conclusions that the risk effects (i.e., effective annual dose) of eolian and fluvial remobilization are bounded by conservative modeling assumptions in the TSPA-SR, Rev 00, ICN1. DOE will examine rates of eolian and fluvial mobilization off slopes, rates of transport in Fortymile Wash, and rates of deposition or removal at proposed critical group location. DOE will evaluate changes in grain size caused by these processes for effects on airborne particle concentrations. DOE will also evaluate the inherent assumption in the mass loading model that the concentration of radio nuclides on soil in the air is equivalent to the concentration of radio nuclides on soil on the ground does not underestimate dose (i.e., radio nuclides important to dose do not preferentially attach to smaller particles). DOE will provide the justification for the range of transition BDCFs sampled. DOE will document the results of investigations in the AMR, Eruptive Processes and Soil Redistribution ANL-MGR-GS-000002, expected to be available in fiscal year 2003 and in the AMR, Input Parameter Values for External and Inhalation Radiation Exposure Analysis, ANL-MGR-MD-000001, available FY 2003, or another appropriate technical document.	FY05 Q1	H	H	H
120	IA.2.18	DOE will evaluate how the presence of repository structures may affect magma ascent, conduit localization, and evolution of the conduit and flow system. The evaluation will include the potential effects of topography and stress, strain response on existing or new geologic structures resulting from thermal loading of HLW, in addition to a range of physical conditions appropriate for the duration of igneous events. DOE will also evaluate how the presence of engineered repository structures in the LA design (e.g., drifts, waste packages, backfill, etc.) could affect magma flow processes for the duration of an igneous event. The evaluation will include the mechanical strength and durability of natural or engineered barriers that could restrict magma flow within intersected drifts. The results of this investigation will be documented in an update to the AMR, Dike Propagation and Interaction with Drifts, ANL-WIS-MD-000015, expected to be available in FY 2003, or another appropriate technical document.	FY04 Q1	H	H	H
121	IA.2.19	DOE will evaluate waste package response to stresses from thermal and mechanical effects associated with exposure to basaltic magma, considering the results of evaluations attendant to IA Agreement 2.18. As currently planned, the evaluation, if implemented, would include (1) appropriate at-condition strength properties and magma flow paths, for duration of an igneous event; and (2)	FY03 Q4	M	L	L

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		aging effects on materials strength properties when exposed to basaltic magmatic conditions for the duration of an igneous event, which will include the potential effects of subsequent seismically induced stresses on substantially intact waste packages. DOE will also evaluate the response of Zone 3 waste packages, or waste packages covered by backfill or rockfall, if exposed to magmatic gases at conditions appropriate for an igneous event, considering the results of evaluations attendant to IA Agreement 2.18. If models take credit for engineered barriers providing delay in radio nuclide release, DOE will evaluate barrier performance for the duration of the hypothetical igneous event. The results of this investigation would be documented in an update to the technical product Waste Package Behavior in Magma CAL-EBS-ME-000002, which would be available by the end of FY 2003, or another appropriate technical document.				
122	IA.2.20	DOE will evaluate how ascent and flow of basaltic magma through repository structures could result in processes that might incorporate HLW, considering the results of evaluations attendant to IA Agreements 2.18 and 2.19. As currently planned, the evaluation, if implemented, would include the potential for HLW incorporation along reasonable potential flow paths that could develop during an igneous event. The evaluation would also include the physical and chemical response of HLW and cladding after heating and potential disruption of waste package and contents, for waste packages remaining in drifts. The evaluation would examine effects that may result in increased solubility potential relative to undisturbed HLW forms. The results of this investigation would be documented in a new AMR to document the waste form response to magmatic conditions, which is expected to be available by the end of FY 2003. DOE will describe the method of HLW incorporation used in DOE models, including consideration of particle aggregation and the effect on waste transport. If models take credit for engineered barriers providing delay in radio nuclide release, DOE will evaluate barrier performance for the duration of the hypothetical igneous event. This will be documented in an update to the igneous consequences AMR, ANL-WIS-MD-000017, which is expected to be available in FY 2003, or another appropriate technical document.	FY04 Q1	M	H	H
123	PRE.03.01	Provide a plan for identification and estimation of aircraft hazards for the license application. This plan should be consistent with the guidelines in NUREG-0800 and other applicable DOE standards, as appropriate, to a nuclear waste repository. Provide a map delineating the vicinity to be considered in the detailed analysis, taking into consideration available information for civilian and military aircraft, including information from federal and local agencies concerning	In Process	H	H	H

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		how such activities may reasonably change. Participate in an Appendix 7 meeting to discuss the aircraft hazards plan, initial data collection and analysis, development of the vicinity map, and the appropriate level of detail for analyses to be presented in the license application assessment. DOE agrees with the request and will provide the plan and map in June 2002. DOE agrees to participate in an Appendix 7 meeting which will be scheduled after the plan and map are provided.				
124	PRE.03.02	Provide an analysis, including (1) selection of the design basis tornado, together with the supporting technical basis; (2) selection of credible tornado missile characteristics for the waste package and other structures, systems, and components, together with the technical bases; and (3) analysis of the effects of impact of the design basis tornado missiles or justification for excluding such tornado missiles as credible hazards. DOE agrees to provide the analysis. The analysis will be available in FY03 and be documented in an update to ANL-MGR-SE-000001 and any other appropriate documents.	FY03 Q3	L	L	L
125	PRE.06.01	Provide the update to Quality Assurance Procedure QAP 2-3. DOE agreed to provide the procedure. The procedure will be available in February 2002.	In Process	L	M	L
126	PRE.06.02	Provide the Integrated Safety Analysis Guide. DOE agreed to provide the guide. The guide will be available in February 2002.	Complete	M		
127	PRE.07.01	Provide an update to the Pre-Closure Criticality Analysis Process Report. DOE agreed to provide the report. The report will be available in FY03.	FY03 Q3	L	H	M
128	PRE.07.02	Provide the waste package finite element analysis based numerical simulations that represent a significant contribution to DOE'?s safety case. Provide documentation demonstrating that a sufficient finite element model mesh discretization has been used and the failure criterion adequately bounds the uncertainties associated with effects not explicitly considered in the analysis. These uncertainties include but are not limited to: (1) residual and differential thermal expansion stresses, (2) strain rate effects, (3) dimensional and material variability, (4) seismic effects on ground motion, (5) initial tip-over velocities, and (6) sliding and inertial effects of the waste package contents, etc. In addition, document the loads and boundary conditions used in the models and provide the technical bases and or rationale for them. DOE agreed to provide the information. The information will be available in FY03 and documented in Waste Package Design Methodology Report.	FY04 Q1	L	H	H
129	PRE.07.03	Demonstrate that the allowed microstructural and compositional variations of alloy 22 base metal and the allowed compositional variations in the weld filler metals used in the fabrication of the waste packages do not result in	FY04 Q1	M	M	M

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		unacceptable waste package mechanical properties. DOE will provide justification that the ASME code case for alloy 22 results in acceptable waste package mechanical properties considering allowed microstructural and compositional variations of alloy 22 base metal and the allowed compositional variations in the weld filler metals used in the fabrication of the waste packages. DOE agrees to provide the information in FY03 and document the information in the Waste Package Design Methodology Report.				
130	PRE.07.04	Demonstrate that the non-destructive evaluation methods used to inspect the alloy 22 and 316 nuclear grade plate material and closure welds are sufficient and are capable of detecting all defects that may alter waste package mechanical properties. DOE will provide justification that the non-destructive evaluation methods used to inspect the alloy 22 and 316 nuclear grade plate material and welds are sufficient and are capable of detecting defects that may adversely affect waste package pre-closure structural performance. DOE agrees to provide the information in FY03 and document the information in the Waste Package Operations Fabrication Process Report.	FY03 Q3	L	M	M
131	PRE.07.05	Provide justification that the mechanical properties of the disposal container fabrication and waste package closure welds are adequately represented considering the (1) range of welding methods used to construct the disposal containers, (2) post weld annealing and stress mitigation processes, and (3) post weld repairs. DOE agrees to provide the information in FY03 and document the information in the Waste Package Operations Fabrication Process Report.	FY04 Q1	M	H	H
132	RDTME.2.01	Provide Topical Report 3, Preclosure Seismic Design Inputs for a Geologic Repository at Yucca Mountain. Consistent with SDS Subissue 2, Agreement 2, the DOE will provide Seismic Topical Report 3, Preclosure Seismic Design Inputs for a Geologic Repository at Yucca Mountain, expected to be available to the NRC in January 2002.	FY04 Q2	M	H	L
133	RDTME.2.02	Provide the substantive technical content of Topical Report 3. The DOE will provide the preliminary seismic design input data sets used in Site Recommendation design analyses to the NRC by April 2001. The DOE will provide the draft final seismic design inputs for license application via an Appendix 7 meeting after calculations are complete prior to delivery of Seismic Topical Report 3.	FY04 Q2	M	L	L
134	RDTME.3.01	Provide the technical basis for the range of relative humidities, as well as the potential occurrence of localized liquid phase water, and resulting affects on ground support systems. The DOE will provide the technical basis for the range	In Process	M	M	M

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		of relative humidity and temperature, and the potential effects of localized liquid phase water on ground support systems, during the forced ventilation preclosure period, in the Longevity of Emplacement Drift Ground Support Materials, ANL-EBS-GE-000003 Rev 01, and revision 1 of the Ventilation Model, ANL-EBS-MD-000030, analysis and model reports. These are expected to be available to NRC in September and March 2001, respectively.				
135	RDTME.3.02	Provide the critical combinations of in-situ, thermal, and seismic stresses, together with their technical bases, and their impacts on ground support performance. The DOE will examine the critical combinations of in-situ, thermal, and seismic stresses, together with their technical bases and their impacts on preclosure ground support performance. These results will be documented in a revision to the Ground Control for Emplacement Drifts for SR, ANL-EBS-GE-000002 (or other document) supporting any potential license application. This is expected to be available to NRC in FY 2003.	FY04 Q2	L	L	M
136	RDTME.3.03	Provide the Seismic Design Inputs AMR and the Preclosure Seismic Design Inputs for a Geologic Repository at Yucca Mountain, Seismic Topical Report 3. Consistent with SDS Subissue 2, Agreement 2, the DOE will provide the Seismic Design Inputs analysis and model report and Preclosure Seismic Design Inputs for a Geologic Repository at Yucca Mountain, Seismic Topical Report 3. These documents are expected to be available to NRC in January 2002.	FY04 Q2	M	H	L
137	RDTME.3.04	Provide in the Design Parameter Analysis Report (or some other document) site-specific properties of the host rock, as a minimum those included in the NRC handout, together with the spatial and temporal variations and uncertainties in such properties, as an update to the information contained in the March 1997 Yucca Mountain Site Geotechnical Report. The DOE will: (1) evaluate the adequacy of the currently available measured and derived data to support the potential repository licensing case and identify areas where available data may warrant additional field measurements or testing to reduce uncertainty. DOE will provide a design parameters analysis report (or other document) that will include the results of these evaluations, expected to be available to NRC in FY 2002; and (2) acquire data and/or perform additional analyses as necessary to respond to the needs identified in 1 above. The DOE will provide these results prior to any potential license application.	FY03 Q3	H	H	H
138	RDTME.3.05	Provide the Rock Mass Classification Analysis (or some other document) including the technical basis for accounting for the effects of lithophysae. The DOE will provide a rock mass classification analysis (or other document),	FY03 Q4	M	H	H

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		including the technical basis for accounting for the effects of lithophysae, expected to be available to NRC in FY 2002.				
139	RDTME.3.06	Provide the design sensitivity and uncertainty analyses of the rock support system. The DOE will prepare a scoping analysis to determine the significance of the input parameters for review by NRC staff by August 2002. Once an agreed set of significant parameters has been determined by the DOE and the NRC staff, the DOE will prepare an analysis of the sensitivity and uncertainty of the preclosure rock support system to design parameters in a revision to the Ground Control for Emplacement Drifts for SR, ANL-EBS-GE-000002 (or other document) supporting any potential license application. This is expected to be available to NRC in FY 2003.	FY04 Q1	L	M	M
140	RDTME.3.07	The DOE should account for the effect of sustained loading on intact rock strength or provide justification for not accounting for it. The DOE will assess the effects of sustained loading on intact rock strength. The DOE will provide the results of this assessment in a design parameters analysis report (or other document), expected to be available to NRC in FY 2002.	FY03 Q4	M	M	H
141	RDTME.3.08	Provide the design sensitivity and uncertainty analyses of the fracture pattern (with respect to Subissue 3, Component 1). The DOE will provide sensitivity and uncertainty analysis of fracture patterns (based on observed orientation, spacing, trace length, etc) on the preclosure ground control system design in a revision to the Ground Control for Emplacement Drifts for SR, ANL-EBS-GE-000002 (or other document) supporting any potential license application. This is expected to be available to NRC in FY 2003.	FY04 Q1	L	M	M
142	RDTME.3.09	Provide appropriate analysis that shows that rock movements in the invert are either controlled or otherwise remain within the range acceptable to provide for retrieval and other necessary operations within the deposal drifts. DOE will provide appropriate analysis that shows rock movements in the floor of the emplacement drift are within the range acceptable for preclosure operations. The analysis results will be provided in a revision to the Ground Control for Emplacement Drifts for SR, ANL-EBS-GE-000002 (or other document) supporting any potential license application. This is expected to be available to NRC in FY 2003.	FY04 Q2	L	L	L
143	RDTME.3.10	Provide technical basis for the assessment that two-dimensional modeling for emplacement drifts is considered to be adequate, considering the fact that neither the in-situ stress field nor the principle fracture orientation are parallel or perpendicular to emplacement drift orientation. The DOE will provide the technical bases for the modeling methods used in ground control analysis in a	FY04 Q2	H	M	M

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		revision to the Ground Control for Emplacement Drifts for SR, ANL-EBS-GE-000002 (or other document) supporting any potential license application. This is expected to be available to NRC in FY 2003.				
144	RDTME.3.11	Provide continuum and discontinuum analyses of ground support system performance that take into account long-term degradation of rockmass and joint strength properties. The DOE will justify the preclosure ground support system design (including the effects of long term degradation of rock mass and joint strength properties) in a revision to the Ground Control for Emplacement Drifts for SR, ANL-EBS-GE-000002 (or other document) supporting any potential license application. This is expected to be available to NRC in FY 2003.	FY04 Q2	M	H	M
145	RDTME.3.12	Provide dynamic analyses (discontinuum approach) of ground support system performance using site specific ground motion time history as input. The DOE will provide appropriate analyses to include dynamic analyses (discontinuum approach) of preclosure ground support systems, using site specific ground motion time histories as input, in a revision to the Ground Control for Emplacement Drifts for SR, ANL-EBS-GE-000002 (or other document) supporting any potential license application. This is expected to be available to NRC in FY 2003.	FY04 Q2	M	H	H
146	RDTME.3.13	Provide technical justification for boundary conditions used for continuum and discontinuum modeling used for underground facility design. The DOE will provide the technical justification for boundary conditions used in modeling for preclosure ground control analyses in a revision to the Ground Control for Emplacement Drifts for SR, ANL-EBS-GE-000002 (or other document) supporting any potential license application. This is expected to be available to NRC in FY 2003.	FY04 Q2	L	L	L
147	RDTME.3.14	Provide the results of the ventilation modeling being conducted at the University of Nevada-Reno (Multi-Flux code) and validation testing at the Atlas Facility (validation of the ventilation model based on the ANSYS code), including: 1) the technical bases for the adequacy of discretization used in these models and 2) the technical bases for the applicability of the modeling results to prediction of heat removal from the repository. The DOE will provide the results of the ventilation tests in a update to the Ventilation Model, ANL-EBS-MD-000030, analysis and model report including: 1) the technical bases for the adequacy of discretization used in these models and 2) the technical bases for the applicability of the modeling results to prediction of heat removal from the repository. This is expected to be available to NRC in FY 2002.	In Process	L	L	L
148	RDTME.3.15	Provide field data and analysis of rock bridges between rock joints that are	FY03 Q4	L	M	M

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		treated as cohesion in DRKBA modeling together with a technical basis for how a reduction in cohesion adequately accounts for thermal effects. The DOE will provide clarification of the approach and technical basis for how reduction in cohesion adequately accounts for thermal effects, including any additional applicable supporting data and analyses. Additionally, the adequacy of the cohesion reduction approach will be verified according to the approach described in Subissue 3, Agreement 19, of the Repository Design and Thermal-Mechanical Effects Technical Exchange. This will be documented in a revision to the Drift Degradation Analysis, ANL-EBS-MD-000027, expected to be available to NRC in FY 2003.				
149	RDTME.3.16	Provide a technical basis for the DOE position that the method used to model joint planes as circular discs does not under-represent the smaller trace-length fractures. The DOE will analyze the available small trace-length fracture data from the Exploratory Studies Facility and Enhanced Characterization of the Repository Block, including their effect on block development. This will be documented in a revision to the Drift Degradation Analysis, ANL-EBS-MD-000027, expected to be available to NRC in FY 2003.	FY03 Q4	L	L	M
150	RDTME.3.17	Provide the technical basis for effective maximum rock size including consideration of the effect of variation of the joint dip angle. The DOE will provide the technical basis for effective maximum rock size including consideration of the effect of variation of the joint dip angle. This will be documented in revisions to the Drift Degradation Analysis, ANL-EBS-MD-000027, and the Rockfall on Drip Shield, CAL-EBS-ME-000001, expected to be available to NRC in FY 2003.	FY03 Q4	M	L	M
151	RDTME.3.18	Provide a technical basis for a stress measure that can be used as the equivalent uniaxial stress for assessing the susceptibility of the various engineered barrier system materials to stress corrosion cracking (SCC). The proposed stress measure must be consistent and compatible with the methods proposed by the DOE to assess SCC of the containers in WAPDEG and in accordance with the agreements reached at the CLST Technical Exchange. The DOE will include a detailed discussion of the stress measure used to determine nucleation of stress corrosion cracks in the calculations performed to evaluate waste package barriers and the drip shield against stress corrosion cracking criterion. DOE will include these descriptions in future revisions of the following: Design Analysis for UCF Waste Packages, ANL-UDC-MD-000001, Design Analysis for the Defense High-Level Waste Disposal Container, ANL-DDC-ME-000001, Design Analysis for the Naval SNF Waste Package, ANL-	FY04 Q2	L	L	M

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		UDC-ME-000001, and Design Analysis for the Ex-Container Components, ANL-XCS-ME-000001. The stresses reported in these documents will be used in WAPDEG and will be consistent with the agreements and associated schedule made at the Container Life and Source Term Technical Exchange (Subissue 1, Agreement 14, Subissue 6, Agreement 1).				
152	RDTME.3.19	The acceptability of the process models that determine whether rockfall can be screened out from performance assessment abstractions needs to be substantiated by the DOE by doing the following: (1) provide revised DRKBA analyses using appropriate range of strength properties for rock joints from the Design Analysis Parameters Report, accounting for their long-term degradation; (2) provide an analysis of block sizes based on the full distribution of joint trace length data from the Fracture Geometry Analysis Report for the Stratigraphic Units of the Repository Host Horizon, including small joints trace lengths; (3) verify the results of the revised DRKBA analyses using: (a) appropriate boundary conditions for thermal and seismic loading; (b) critical fracture patterns from the DRKBA Monte Carlo simulations (at least two patterns for each rock unit); (c) thermal and mechanical properties for rock blocks and joints from the Design Analysis Parameters Report; (d) long-term degradation of rock block and joint strength parameters; and (e) site-specific groundmotion time histories appropriate for post-closure period; provide a detailed documentation of the analyses results; and (4) in view of the uncertainties related to the rockfall analyses and the importance of the outcome of the analyses to the performance of the repository, evaluate the impacts of rockfall in performance assessment calculations. DOE believes that the Drift Degradation Analysis is consistent with current understanding of the Yucca Mountain site and the level of detail of the design to date. As understanding of the site and the design evolve, DOE will: (1) provide revised DRKBA analyses using appropriate range of strength properties for rock joints from a design parameters analysis report (or other document), accounting for their long-term degradation; (2) provide an analysis of block sizes based on the full distribution of joint trace length data from the Fracture Geometry Analysis for the Stratigraphic Units of the Repository Host Horizon, ANL-EBS-GE-000006, supplemented by available small joint trace length data; (3) verify the results of the revised DRKBA analyses using: (a) appropriate boundary conditions for thermal and seismic loading; (b) critical fracture patterns from the DRKBA Monte Carlo simulations (at least two patterns for each rock unit); (c) thermal and mechanical properties for rock blocks and joints from a design parameters analysis report (or other document); (d) long-	FY03 Q4	H	H	H

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		term degradation of joint strength parameters; and (e) site-specific ground motion time histories appropriate for post-closure period. This will be documented in a revision to the Drift Degradation Analysis, ANL-EBS-MD-000027, expected to be available to NRC in FY 2003. Based on the results of the analyses above and subsequent drip shield calculation revisions, DOE will reconsider the screening decision for inclusion or exclusion of rockfall in performance assessment analysis. Any changes to screening decisions will be documented in analyses prior to any potential license application.				
153	RDTME.3.20	Provide the sensitivity analyses including the effects of boundary conditions, coefficient of thermal expansion, fracture distributions, rock mass and fracture properties, and drift degradation (from Subissue 3, Component 3, Slide 39). The DOE will provide sensitivity analyses of thermal-mechanical effects on fracture permeability, including the effects of boundary conditions, coefficient of thermal expansion, fracture distributions, rock mass and fracture properties, and drift degradation. This will be provided consistent with site data and integrated with appropriate models in a future revision to the Coupled Thermal Hydrologic Mechanical Effects on Permeability, ANL-NBS-HS-000037, and is expected to be available to NRC in FY 2003.	FY03 Q3	L	M	H
154	RDTME.3.21	Provide the results of additional validation analysis of field tests (from Subissue 3, Component 3, Slide 39). The DOE will provide the results of additional validation analysis of field tests related to the thermal-mechanical effects on fracture permeability in a future revision to the Coupled Thermal Hydrologic Mechanical Effects on Permeability, ANL-NBS-HS-000037, and is expected to be available to NRC in FY 2003.	FY03 Q3	L	L	L
155	RT.1.01	Provide the basis for the proportion of fracture flow through the Calico Hills non-welded vitric. DOE will revise the AMR UZ Flow Models and Submodels and the AMR Calibrated Properties Model to provide the technical basis for the proportion of fracture flow through the Calico Hills Nonwelded Vitric. These reports will be available to the NRC in FY 2002. In addition, the field data description will be documented in the AMR In Situ Field Testing of Processes in FY 2002.	FY04 Q2	L	M	M
156	RT.1.02	Provide analog radionuclide data from the tracer tests for Calico Hills at Busted Butte and from similar analog and radionuclide data (if available) from test blocks from Busted Butte. DOE will provide data from tracers used at Busted Butte and data from (AECL) test blocks from Busted Butte in an update to the AMR In Situ Field Testing of Processes in FY 2002.	FY04 Q2	M	M	M
157	RT.1.03	Provide the screening criteria for the radionuclides selected for PA. Provide the	In	L	L	L

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		technical basis for selection of the radionuclides that are transported via colloids in the TSPA. The screening criteria for radionuclides selected for TSPA are contained in the AMR Inventory Abstraction. DOE is documenting identification of radionuclides transported via colloids for TSPA in the AMR Waste Form Colloid-Associated Concentration Limits: Abstraction and Summary, in the TSPA-SR Technical Report, and in the TSPA-SR Model Document. These documents will be available to the NRC in January 2001.	Process			
158	RT.1.04	Provide sensitivity studies on Kd for plutonium, uranium, and protactinium to evaluate the adequacy of the data. DOE will analyze column test data to determine whether, under the flow rates pertinent to the Yucca Mountain flow system, plutonium sorption kinetics are important to performance. If they are found to be important, DOE will also perform sensitivity analyses for uranium, protactinium, and plutonium to evaluate the adequacy of KD data. The results of this work will be documented in an update to the AMR Unsaturated Zone and Saturated Zone Transport Properties available to the NRC in FY 2002.	FY03 Q4	L	L	L
159	RT.1.05	Provide additional documentation to explain how transport parameters used for performance assessment were derived in a manner consistent with NUREG-1563, as applicable. Consistent with the less structured approach for informal expert judgment acknowledged in NUREG-1563 guidance and consistent with DOE procedure AP-3.10Q, DOE will document how it derived the transport parameter distributions for performance assessment, in a report expected to be available in FY 2002.	FY04 Q1	M	M	M
160	RT.2.01	Provide further justification for the range of effective porosity in alluvium, considering possible effects of contrasts in hydrologic properties of layers observed in wells along potential flow paths. DOE will use data obtained from the Nye County Drilling Program, available geophysical data, aeromagnetic data, and results from the Alluvium Testing Complex testing to justify the range of effective porosity in alluvium, considering possible effects of contrasts in hydrologic properties of layers observed in wells along potential flowpaths. The justification will be provided in the Alluvial Testing Complex AMR due in FY 2003.	FY04 Q1	L	M	M
161	RT.2.02	The DOE should demonstrate that TSPA captures the spatial variability of parameters affecting radionuclide transport in alluvium. DOE will demonstrate that TSPA captures the variability of parameters affecting radionuclide transport in alluvium. This information will be provided in the TSPA-LA document due in FY 2003.	FY05 Q1	L	M	M
162	RT.2.03	Provide a detailed testing plan for alluvial testing (the ATC and Nye County	In	L	L	L

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		Drilling Program) to reduce uncertainty (for example, the plan should give details about hydraulic and tracer tests at the well 19 complex and it should also identify locations for alluvium complex testing wells and tests and logging to be performed). NRC will review the plan and provide comments, if any, for DOE'?s consideration. In support and preparation for the October/November 2000 Saturated Zone meeting, DOE provided work plans for the Alluvium Testing Complex and the Nye County Drilling Program (FWP-SBD-99-002, Alluvial Tracer Testing Field Work Package, and FWP-SBD-99-001, Nye County Early Warning Drilling Program, Phase II and Alluvial Testing Complex Drilling). DOE will provide test plans of the style of the Alcove 8 plan as they become available. The plan will be amended to include laboratory testing. In addition, the NRC On Site Representative attends DOE/Nye County planning meetings and is made aware of all plans and updates to plans as they are made.	Process			
163	RT.2.04	The NRC needs DOE to document the pre-test predictions for the ATC. DOE will document pretest predictions for the Alluvial Testing Complex in the SZ In Situ Testing AMR available in October 2001.	Complete	L		
164	RT.2.05	Provide the laboratory testing plan for laboratory radionuclide transport studies. NRC will review the plan and provide comments, if any, for DOE'?s consideration. In support and preparation for the October/November 2000 Saturated Zone meeting, DOE provided work plans for the Alluvium Testing Complex and the Nye County Drilling Program (FWP-SBD-99-002, Alluvial Tracer Testing Field Work Package, and FWP-SBD-99-001, Nye County Early Warning Drilling Program, Phase II and Alluvial Testing Complex Drilling). DOE will provide test plans of the style of the Alcove 8 plan as they become available. The plan will be amended to include laboratory testing. In addition, the NRC On Site Representative attends DOE/Nye County planning meetings and is made aware of all plans and updates to plans as they are made.	Complete	M		
165	RT.2.06	If credit is taken for retardation in alluvium, the DOE should conduct Kd testing for radionuclides important to performance using alluvium samples and water compositions that are representative of the full range of lithologies and water chemistries present within the expected flow paths (or consider alternatives such as testing with less disturbed samples, use of samples from more accessible analog sites (e.g., 40-mile Wash), detailed process level modeling, or other means). DOE will conduct Kd experiments on alluvium using samples from the suite of samples obtained from the existing drilling program; or, DOE will consider supplementing the samples available for testing from the alternatives presented by the NRC. This information will be documented in an	FY04 Q1	H	M	M

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		update to the SZ In Situ Testing AMR, available in FY 2003. Kd parameter distributions for TSPA will consider the uncertainties that arise from the experimental methods and measurements.				
166	RT.2.07	Provide the testing results for the alluvial and laboratory testing. DOE will provide testing results for the alluvial field and laboratory testing in an update to the SZ In Situ Testing AMR available in FY 2003.	FY04 Q1	H	M	M
167	RT.2.08	Provide additional information to further justify the uncertainty distribution of flow path lengths in the alluvium. This information currently resides in the Uncertainty Distribution for Stochastic Parameters AMR. DOE will provide additional information, to include Nye County data as available, to further justify the uncertainty distribution of flowpath lengths in alluvium in updates to the Uncertainty Distribution for Stochastic Parameters AMR and to the Saturated Zone Flow and Transport PMR, both expected to be available in FY 2002.	FY04 Q1	M	M	L
168	RT.2.09	Provide the hydro-stratigraphic cross-sections that include the Nye County data. DOE will provide the hydrostratigraphic cross sections in an update to the Hydrogeologic Framework Model for The Saturated Zone Site-Scale Flow and Transport Model AMR expected to be available during FY 2002, subject to availability of Nye County data.	In Process	L	M	L
169	RT.2.10	Provide additional documentation to explain how transport parameters used for PA were derived in a manner consistent with NUREG-1563, as applicable. Consistent with the less structured approach for informal expert judgment acknowledged in NUREG-1563 guidance and consistent with AP-3.10Q, DOE will document how it derived the transport distributions for performance assessment, in a report expected to be available in FY 2002.	FY04 Q1	M	M	M
170	RT.2.11	Provide the updated UZ Flow and Transport and the SZ Flow and Transport FEPs AMRs. DOE will provide updates to the AMRs Features, Events, and Processes in UZ Flow and Transport and Features, Events, and Processes in SZ Flow and Transport, both available in January 2001.	Complete	L		
171	RT.3.01	For transport through fault zones below the repository, provide the technical basis for parameters/distributions (consider obtaining additional information, for example, the sampling of wells WT-1 and WT-2), or show the parameters are not important to performance. DOE will provide a technical basis for the importance to performance of transport through fault zones below the repository. This information will be provided in an update to the AMR Radionuclide Transport Models Under Ambient Conditions available to the NRC in FY 2002. If such transport is found to be important to performance, DOE will provide the technical basis for the parameters/distributions used in FY 2002.	FY03 Q4	L	M	M

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		DOE will consider obtaining additional information.				
172	RT.3.02	Provide the analysis of geochemical data used for support of the flow field below the repository. DOE will provide the analysis of geochemical data used for support of the fluid flow patterns in the AMR UZ Flow Models and Submodels, available to the NRC in FY 2002.	FY04 Q3	L	M	M
173	RT.3.03	Provide additional information to further justify the uncertainty distribution of flow path lengths in the tuff. This information currently resides in the Uncertainty Distribution for Stochastic Parameters AMR. DOE will provide additional information, to include Nye County data as available, to further justify the uncertainty distribution of flowpath lengths from the tuff at the water table through the alluvium at the compliance boundary in updates to the Uncertainty Distribution for Stochastic Parameters AMR and to the Saturated Zone Flow and Transport Process Model Report, both expected to be available in FY 2002.	FY04 Q2	L	L	M
174	RT.3.04	Provide sensitivity studies for the relative importance of the hydrogeological units beneath the repository for transport of radionuclides important to performance. DOE will provide a sensitivity study to fully evaluate the relative importance of the different units below the repository that could be used to prioritize data collection, testing, and analysis. This study will be documented in an update to the AMR Radionuclide Transport Models Under Ambient Conditions available to the NRC in FY 2002.	FY03 Q4	M	M	M
175	RT.3.05	Provide the documentation for the Alcove 8/Niche 3 testing and predictive modeling for the unsaturated zone. DOE will provide documentation for the Alcove 8 / Niche 3 testing and predictive modeling for the unsaturated zone in updates to the AMRs In Situ Field Testing of Processes and Radionuclide Transport Models Under Ambient Conditions, both available to the NRC in FY 2002.	FY04 Q2	M	M	H
176	RT.3.06	The NRC needs DOE to document the pre-test predictions for the Alcove 8/Niche 3 work. DOE responded that pre-test predictions for Alcove 8 Niche 3 work will be provided to NRC via letter report (Brocoum to Greeves) by mid-January 2001.	In Process	L	L	M
177	RT.3.07	Provide sensitivity studies to test the importance of colloid transport parameters and models to performance for UZ and SZ. Consider techniques to test colloid transport in the Alcove 8/Niche 3 test (for example, microspheres). DOE will perform sensitivity studies as the basis for consideration of the importance of colloid transport parameters and models to performance for the unsaturated and saturated zones and will document the results in updates to appropriate AMRs, and in the TSPA-LA document, all to be available in FY 2003. DOE will evaluate	FY04 Q2	M	M	M

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		techniques to test colloidal transport in Alcove 8 / Niche 3 and provide a response to the NRC in February 2001.				
178	RT.3.08	Provide justification that microspheres can be used as analogs for colloids (for example, equivalent ranges in size, charge, etc.). DOE will provide documentation in the C-Wells AMR to provide additional justification that microspheres can be used as analogs for colloids. The C-Wells AMR will be available to the NRC in October 2001.	In Process	M	L	M
179	RT.3.09	Provide the documentation for the C-wells testing. Use the field test data or provide justification that the data from the laboratory tests is consistent with the data from the field tests. DOE will provide the C-Wells test documentation and will either use the test data or provide a justified reconciliation of the lab and field test data in the C-Wells AMR available in October 2001.	Complete	L		
180	RT.3.10	Provide analog radionuclide data from the tracer tests for Calico Hills at Busted Butte and from similar analog and radionuclide data (if available) from test blocks from Busted Butte. DOE will provide data from analog tracers used at Busted Butte and data from (AECL) test blocks from Busted Butte in an update to the AMR In Situ Field Testing of Processes in FY 2002.	FY04 Q2	L	M	M
181	RT.4.01	Provide Revision 1 to the Topical Report. DOE will provide the Disposal Criticality Analysis Methodology Topical Report, Revision 01, to NRC during January 2001.	In Process	L	H	H
182	RT.4.02	Provide the updated FEPs database. DOE stated that it would provide the FEPs AMRs and the FEPs database to NRC during January 2001.	Complete	L		
183	RT.4.03	Provide the applicable list of validation reports and their schedules for external criticality. DOE stated that the geochemical model validation reports for "?Geochemistry Model Validation Report: Degradation and Release"? and "?Geochemistry Model Validation Report: Material Accumulation"? are expected to be available during 2001. The remainder of the reports are expected to be available during FY2002 subject to the results of detailed planning and scheduling. DOE understands that these reports are required to be provided prior to LA. A list of model validation reports was provided during the technical exchange and is included as an attachment to the meeting summary.	FY04 Q4	L	H	H
184	SDS.1.01	Provide the updated FEPs: Disruptive Events AMR. DOE will provide the updated FEPs AMR to the NRC. Expected availability is January 2001.	Complete	L		
185	SDS.1.02	Consistent with proposed 10 CFR Part 63, the NRC believes the use of the mean is appropriate, however, DOE may use any statistic as long as it is consistent with site data and technically defensible. DOE will either provide technical justification for use of median values or another statistical measure,	Complete	L		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		such as the mean, or will evaluate and implement an alternative approach. The DOE-proposed approach and its basis will be provided to NRC prior to September 2001. The approach will be implemented prior to any potential LA.				
186	SDS.2.01	Regarding ground motion, provide documentation, or point the NRC to the documentation on the expert elicitation process, regarding the feedback to the subject matter experts following the elicitation of their respective judgements. DOE will provide documentation demonstrating the adequacy of the elicitation feedback process by December 2000	In Process	L	M	M
187	SDS.2.02	Provide the updated FEPs: Disruptive Events AMR, the Seismic Design Input Report, and the update to the Seismic Topical Report. DOE will provide the updated FEPs AMR to NRC. Expected availability is January 2001. DOE will provide STR 3 to the NRC for their review. Expected availability is January 2002. The Seismic Design Inputs Report is expected to be available to the NRC by September 2001	FY04 Q2	M	M	M
188	SDS.2.03	Consistent with proposed 10 CFR Part 63, the NRC believes the use of the mean is appropriate, however, DOE may use any statistic as long as it is consistent with site data and technically defensible. DOE will either provide technical justification for use of median values or another statistical measure, such as the mean, or will evaluate and implement an alternative approach. The DOE-proposed approach and its basis will be provided to NRC prior to September 2001. The approach will be implemented prior to any potential LA.	Complete	L		
189	SDS.2.04	The approach to evaluate seismic risk, including the assessment of seismic fragility and evaluation of event sequences is not clear to the NRC, provide additional information. DOE believes the approach contained in the FEPs AMR will be sufficient to support the Site Recommendation. The updated FEPs AMR is expected to be available in January 2001.	In Process	L	H	H
190	SDS.3.01	The ECRB long-term test and the Alcove 8 Niche 3 test need to be "?fractured-informed"? (i.e., observation of seepage needs to be related to observed fracture patterns). Provide documentation which discusses this aspect. DOE responded that for the passive test, any observed seepage will be related to full periphery maps and other fracture data in testing documentation. The documentation will be available by any potential LA. For Niche 3, fracture characterization is complete and a 3-D representation will be included in testing documentation. The documentation will be available August 2001.	FY03 Q4	L	M	M
191	SDS.3.02	The NRC needs DOE to document the pre-test predictions for the Alcove 8 Niche 3 work. DOE responded that pre-test predictions for Alcove 8 Niche 3 work will be provided to NRC via letter report (Brocoum to Greeves) by mid-	In Process	M	M	L

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		January 2001.				
192	SDS.3.03	The NRC needs to review the Fracture Geometry Analysis for the Stratigraphic Units of the Repository Host Horizon AMR. The NRC will provide feedback and proposed agreements to DOE, if needed, by December 2000.	In Process	L	H	M
193	SDS.3.04	The NRC needs DOE to document the discussion of excavation-induced fractures. DOE responded that observations of excavation-induced fractures will be documented in a report or AMR revision by June 2001.	Complete	L		
194	TEF.1.01	Provide the FEPs AMRs relating to TEF. The DOE will provide the following updated FEPs AMRs related to thermal effects on flow to the NRC: Disruptive Events FEPs (ANL-NBS-MD-000005) Rev 00 ICN 01; Features, Events, and Processes: System Level (ANL-WIS-MD-000019) Rev 00; Features, Events, and Processes in UZ Flow and Transport (ANL-NBS-MD-000001) Rev 01; Features, Events, and Processes in SZ Flow and Transport (ANL-NBS-MD-000002) Rev 01; Features, Events, and Processes in Thermal Hydrology and Coupled Processes (ANL-NBS-MD-000004) Rev 00 ICN 01; Miscellaneous Waste Form FEPs (ANL-WIS-MD-000009) Rev 00 ICN 01; and Engineered Barrier System Features, Events, and Processes (ANL-WIS-PA-000002) Rev 01. Expected availability: January 2001.	Complete	L		
195	TEF.1.02	Provide the FEPs database. The DOE will provide the FEPs data base to the NRC during March 2001.	Complete	L		
196	TEF.2.01	Consider measuring losses of mass and energy through the bulkhead of the drift-scale test (DST) and provide the technical basis for any decision or method decided upon (include the intended use of the results of the DST such as verifying assumptions in FEP exclusion arguments or providing support for TSPA models. The DOE should analyze uncertainty in the fate of thermally mobilized water in the DST and evaluate the effect this uncertainty has on conclusions drawn from the DST results. The DOE'?s position is that measuring mass and energy losses through the bulkhead of the DST is not necessary for the intended use of the DST results. The DST results are intended for validation of models of thermally-driven coupled processes in the rock, and measurements are not directly incorporated into TSPA models. Results of the last two years of data support the validation of DST coupled-process models and the current treatment of mass and energy loss through the bulkhead. The DOE will provide the NRC a white paper on the technical basis for the DOE'?s understanding of heat and mass losses through the bulkhead and their effects on the results by April 2001. This white paper will include the DOE'?s technical basis for its decision regarding measurements of heat and mass losses through the DST	Complete	M		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		bulkhead. This white paper will address uncertainty in the fate of thermally mobilized water in the DST and also the effect this uncertainty has on conclusions drawn from the DST results. The NRC will provide comments on this white paper. The DOE will provide analyses of the effects of this uncertainty on the uses of the DST in response to NRC comments.				
197	TEF.2.02	Provide the location and access to the Multi-Scale Thermohydrologic Model input and output files. The output files are in the Technical Data Management System. The DTNs are LL000509112312.003, LL000509012312.002, and LL000509212312.004. The input files are located in the Project records system. The document identification number is MOL.20000706.0396. The DOE will provide the requested information to the NRC in January 2001.	Complete	L		
198	TEF.2.03	Provide the following references: Multi-Scale Thermohydrologic Model AMR, ICN 01; Abstraction of Near Field Environment Drift Thermodynamic and Percolation Flux AMR, ICN 01; Engineered Barrier System Degradation Flow and Transport PMR, Rev. 01; and Near Field Environment PMR, ICN 03. DOE will provide to the NRC the following documents: Multi-Scale Thermohydrologic Model AMR (ANL-EBS-MD-00049) Rev 00 ICN 01 (January 2001); Abstraction of Near-Field Environment Drift Thermodynamic and Percolation Flux AMR (ANL-EBS-HS-000003) Rev 00 ICN 01 (January 2001); Engineered Barrier System Degradation, Flow and Transport PMR (TDR-EBS-MD-000006) Rev 01 (September 2001); Near-Field Environment PMR (TDR-NBS-MD-000001) Rev 00 ICN 03 (January 2001)	Complete	M		
199	TEF.2.04	Provide the Multi-Scale Thermohydrologic Model AMR, Rev. 01. The DOE will provide the Multi-Scale Thermohydrologic Model AMR (ANL-EBS-MD-00049) Rev 01 to the NRC. Expected availability is FY 02.	FY04 Q1	M	L	H
200	TEF.2.05	Represent the cold-trap effect in the appropriate models or provide the technical basis for exclusion of it in the various scale models (mountain, drift, etc.) considering effects on TEF and other abstraction/models (chemistry). See page 11 of the Open Item (OI) 2 presentation. The DOE will represent the “?cold-trap”? effect in the Multi-Scale Thermohydrologic Model AMR (ANL-EBS-MD-00049) Rev 01, expected to be available in FY 02. This report will provide technical support for inclusion or exclusion of the cold-trap effect in the various scale models. The analysis will consider thermal effects on flow and the in-drift geochemical environment abstraction.	FY04 Q1	M	H	H
201	TEF.2.06	Provide the detailed test plan for Phase III of the ventilation test, and consider NRC comments, if any. The DOE will provide a detailed test plan for the Phase III ventilation test in March 2001. The NRC comments will be provided no later	Complete	L		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		than two weeks after receipt of the test plan, and will be considered by the DOE prior to test initiation.				
202	TEF.2.07	Provide the Ventilation Model AMR, Rev. 01 and the Pre-Test Predictions for Ventilation Test Calculation, Rev. 00. The DOE will provide the Ventilation Model AMR (ANL-EBS-MD-000030) Rev 01 to the NRC in March 2001. Note that ventilation test data will not be incorporated in the AMR until FY02. The DOE will provide the Pre-test Predictions for Ventilation Tests (CAL-EBS-MD-000013) Rev 00 to the NRC in February 2001. Test results will be provided in an update to the Ventilation Model AMR (ANL-EBS-MD-000030) in FY 02.	In Process	L	L	L
203	TEF.2.08	Provide the Mountain Scale Coupled Processes AMR, or an other appropriate AMR, documenting the results of the outlined items on page 20 of the OI 7 presentation (considering the NRC suggestion to compare model results to the O.M. Phillips analytical solution documented in Water Resources Research, 1996). The DOE will provide the updated Mountain-Scale Coupled Processes Model AMR (MDL-NBS-HS-000007) Rev 01 to the NRC in FY 02, documenting the results of the outlined items on page 20 of DOE'?s Open Item 7 presentation at this meeting. The DOE will consider the NRC suggestion of comparing the numerical model results to the O.M. Phillips analytical solution documented in WRR (1996).	FY04 Q1	M	L	M
204	TEF.2.09	Provide the Multi-Scale Thermohydrologic Model AMR, ICN 03. The DOE will provide the Multi-Scale Thermohydrologic Model AMR (ANL-EBS-MD-00049) Rev 00 ICN 03 to the NRC. Expected availability July 2001.	Complete	M		
205	TEF.2.10	Represent the full variability/uncertainty in the results of the TEF simulations in the abstraction of thermodynamic variables to other models, or provide technical basis that a reduced representation is appropriate (considering risk significance). The DOE will discuss this issue during the TSPAI technical exchange tentatively scheduled for April 2001.	In Process	M	H	H
206	TEF.2.11	Provide the Calibrated Properties AMR, incorporating uncertainty from all significant sources. The DOE will provide an updated Calibrated Properties Model AMR (MDL-NBS-HS-000003) Rev 01 that incorporates uncertainty from significant sources to the NRC in FY 02.	FY03 Q4	M	L	M
207	TEF.2.12	Provide the Unsaturated Zone Flow and Transport PMR, Rev. 00, ICN 02, documenting the resolution of issues on page 5 of the OI 8 presentation. The DOE will provide the Unsaturated Zone Flow and Transport PMR (TDR-NBS-HS-000002) Rev 00 ICN 02 to the NRC in February 2001. It should be noted, however, that not all of the items listed on page 5 of the DOE'?s Open Item 8 presentation at this meeting are included in that revision. The DOE will include	FY04 Q2	L	H	H

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		all the items listed on page 5 of the DOE'?s Open Item 8 presentation in Revision 02 of the Unsaturated Zone Flow and Transport PMR, scheduled to be available in FY 02.				
208	TEF.2.13	Provide the Conceptual and Numerical Models for Unsaturated Zone Flow and Transport AMR, Rev. 01 and the Analysis of Hydrologic Properties Data AMR, Rev. 01. The DOE will provide updates to the Conceptual and Numerical Models for UZ Flow and Transport (MDL-NBS-HS-000005) Rev 01 and the Analysis of Hydrologic Properties Data (ANL-NBS-HS-000002) Rev 01 AMRs to the NRC. Scheduled availability is FY 02.	In Process	L	L	M
209	TSPAI.1.01	Provide enhanced descriptive treatment for presenting barrier capabilities in their final approach for demonstrating multiple barriers. Provide discussion of the capabilities of individual barriers, in light of existing parameter uncertainty (e.g., in barrier and system characteristics) and model uncertainty. DOE will provide enhanced descriptive treatment for presenting barrier capabilities in the final approach for demonstrating multiple barriers. DOE will also provide discussion of the capabilities of individual barriers, in light of existing parameter uncertainty (e.g., in barrier and system characteristics) and model uncertainty. The information will be documented in TSPA Methods and Assumptions document, expected to be available to NRC in FY 2002, for any potential license application.	Complete	L		
210	TSPAI.1.02	Provide a discussion of the following in documentation of barrier capabilities and the corresponding technical bases: (1) parameter uncertainty, (2) model uncertainty (i.e., the effect of viable alternative conceptual models), (3) spatial and temporal variability in the performance of the barriers, (4) independent and interdependent capabilities of the barriers (e.g., including a differentiation of the capabilities of barriers performing similar functions), and (5) barrier effectiveness with regard to individual radionuclides. Analyze and document barrier capabilities, in light of existing data and analyses of the performance of the repository system. DOE will provide a discussion of the following in documentation of barrier capabilities and the corresponding technical bases: (1) parameter uncertainty, (2) model uncertainty (i.e., the effect of viable alternative conceptual models), (3) spatial and temporal variability in the performance of the barriers, (4) independent and interdependent capabilities of the barriers (e.g., including a differentiation of the capabilities of barriers performing similar functions), and (5) barrier effectiveness with regard to individual radionuclides. DOE will also analyze and document barrier capabilities, in light of existing data and analyses of the performance of the repository system. The information will	FY05 Q1	L	H	M

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		be documented in TSPA for any potential license application expected to be available in FY 2003.				
211	TSPAI.2.01	Provide clarification of the screening arguments, as summarized in Attachment 2. See Comment # 5, 7, 8, 9, 10, 13, 18, 19 (Part 5), 21, 32, 41, 47, 50, 53, 58, 67, J-5, J-16, and J-18. DOE will clarify the screening arguments, as summarized in Attachment 2, for the highlighted FEPs. The clarifications will be provided in the referenced FEPs AMR and will be provided to the NRC in FY03.	FY04 Q1	L	L	L
212	TSPAI.2.02	Provide the technical basis for the screening argument, as summarized in Attachment 2. See Comment # 3, 4, 11, 12, 19 (Parts 1, 2, and 6), 25, 26, 29, 34, 35, 36, 37, 38, 39, 42, 43, 44, 48, 49, 51, 54, 55, 56, 57, 59, 60, 61, 62, 63, 64, 65, 66, 68, 69, 70, 78, 79, J-1, J-2, J-3, J-4, J-7, J-8, J-9, J-10, J-11, J-12, J-13, J-14, J-15, J-17, J-20, J-21, J-22, J-23, J-24, J-25, J-26, and J-27. DOE will provide the technical basis for the screening argument, as summarized in Attachment 2, for the highlighted FEPs. The technical basis will be provided in the referenced FEPs AMR and will be provided to the NRC in FY03.	FY04 Q2	H	M	L
213	TSPAI.2.03	Add the FEPs highlighted in Attachment 2 to the appropriate FEPs AMRs. See Comment 19 (Part 7 and 8), 20, and J-6. DOE will add the FEPs highlighted in Attachment 2 to the appropriate FEPs AMRs. The FEPs will be added to the appropriate FEPs AMRs and the AMRs will be provided to the NRC in FY03.	FY04 Q1	L	L	L
214	TSPAI.2.04	Provide a clarification of the description of the primary FEP. See Comments 24, 31, and 33. DOE will clarify the description of the primary FEPs, as summarized in Attachment 2, for the highlighted FEPs. The clarifications will be provided in the referenced FEPs AMR and will be provided to the NRC in FY03.	FY04 Q1	L	L	L
215	TSPAI.2.05	It is not clear to the NRC that the current list of FEPs (i.e., the list of FEPs documented in TDR-WIS-MD-000003, 00/01) is sufficiently comprehensive or exhibits the necessary attribute of being auditable (e.g., transparent and traceable). As discussed in the two TSPAI technical exchanges, there are unclear aspects of the approach that DOE plans to use to develop the necessary documentation of those features, events, and processes that they have considered. Accordingly, to provide additional confidence that the DOE will provide NRC with: (1) auditable documentation of what has been considered by the DOE, (2) the technical basis for excluding FEPs, and (3) an indication of the way in which included FEPs have been incorporated in the performance assessment; DOE will provide NRC with a detailed plan (the Enhanced FEP Plan) for comment. In the Enhanced FEP Plan, DOE will address the following items: (1) the approach used to develop a pre-screening set of FEPs (i.e., the documentation of those things that DOE considered and which the DOE would	In Process	L	M	M

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		use to provide support for a potential license application), (2) the guidance on the level-of-detail that DOE will use for redefining FEPs during the enhanced FEP process, (3) the form that the pre-screening list of FEPs will take (e.g., list, database, other descriptions), (4) the approach DOE would use for the ongoing evaluation of FEPs (e.g., how to address potentially new FEPs), (5) the approach that DOE would use to evaluate and update the existing scope and description of FEPs, (6) the approach that DOE would use to improve the consistency in the level of detail among FEPs, (7) how the DOE would evaluate the results of its efforts to update the existing scope and definition of FEPs, (8) how the Enhanced FEP process would support assertions that the resulting set of FEPs will be sufficiently comprehensive (e.g., represents a wide range of both beneficial and potential adverse effects on performance) to reflect clearly what DOE has considered, (9) how DOE would indicate their disposition of included FEPs in the performance assessment, (10) the role and definition of the different hierarchical levels used to document the information (e.g., "?components of FEPs"? and "?modeling issues"?), (11) how the hierarchical levels used to document the information would be used within DOE'?s enhanced FEP process, (12) how the Enhanced FEP Plan would result in documentation that facilitates auditing (i.e., lead to a process that is transparent and traceable), (13) DOE'?s plans for using configuration management controls to identify FEP dependencies on ongoing work and design changes. DOE will provide the Enhanced Plan to NRC by March 2002.				
216	TSPAI.2.06	Provide justification for the approach to: (1) the level of detail used to define FEPs; (2) the degree of consistency among FEPs; and (3) comprehensiveness of the set of FEPs initially considered (i.e., before screening). DOE proposes to meet with NRC periodically to provide assessments of the DOE'?s progress, once it has initiated the Enhanced FEP process, and on changes to the approach documented in the Enhanced FEP Plan. During these progress meetings DOE agrees to provide a justification for their approach to: (1) the level of detail used to define FEPs; (2) the degree of consistency among FEPs; and (3) comprehensiveness of the pre-screening set of FEPs.	In Process	L	M	M
217	TSPAI.2.07	Provide results of the implementation of the Enhanced FEP Plan (e.g., the revised FEP descriptions, screening arguments, the mapping of FEPs to TSPA keywords, and a searchable index of FEP components), in updates to the FEP AMR documents and the FEP Database. DOE agrees to provide the results of their implementation of the Enhanced FEP Plan (e.g., the revised FEP descriptions, screening arguments, improved database navigation through, for	FY04 Q2	M	H	M

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		example, the mapping of FEPs to TSPA keywords, a searchable index of FEP components, etc.), information requested in updates to the FEP documents and the FEP Database (or other suitable documents) in FY03.				
218	TSPAI.3.01	Propagate significant sources of uncertainty into projections of waste package and drip shield performance included in future performance assessments. Specific sources of uncertainty that should be propagated (or strong technical basis provided as to why it is insignificant) include: (1) the uncertainty from measured crevice and weight-loss samples general corrosion rates and the statistical differences between the populations, (2) the uncertainty from alternative explanations for the decrease in corrosion rates with time (such as silica coatings that alter the reactive surface area), (3) the uncertainty from utilizing a limited number of samples to define the correction for silica precipitation, (4) the confidence in the upper limit of corrosion rates resulting from the limited sample size, and (5) the uncertainty from alternative statistical representations of the population of empirical general corrosion rates. The technical basis for sources of uncertainty will be established upon completion of existing agreement items CLST 1.4, 1.5, 1.6, and 1.7. DOE will then propagate significant sources of uncertainty into projections of waste package and drip shield performance included in future performance assessments. This technical basis will be documented in a future revision of the General and Localized Corrosion of Waste Package Outer Barrier AMR (ANL-EBS-MD-000003) expected to be available consistent with the scope and schedules for the specified CLST agreements. The results of the AMR analyses will be propagated into future TSPA analyses for any potential license application.	FY03 Q4	H	L	M
219	TSPAI.3.02	Provide the technical basis for resampling the general corrosion rates and the quantification of the impact of resampling of general corrosion rates in revised documentation (ENG1.1.1). DOE will provide the technical basis for resampling the general corrosion rates and the quantification of the impact of resampling of general corrosion rates in an update to the WAPDEG Analysis of Waste Package and Drip Shield Degradation AMR (ANL-EBS-PA-000001). This AMR is expected to be available to NRC in FY 2003.	Complete	L		
220	TSPAI.3.03	Provide the technical basis for crack arrest and plugging of crack openings (including the impact of oxide wedging and stress redistribution) in assessing the impact of SCC of the drip shield and waste package in revised documentation (ENG1.1.2 and ENG1.4.1). DOE will provide the technical basis for crack arrest and plugging of crack openings (including the impact of oxide wedging and stress redistribution) in assessing the stress corrosion cracking of	In Process	L	L	M

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		the drip shield and waste package in an update to the Stress Corrosion Cracking of the Drip Shield, Waste Package Outer Barrier, and the Stainless Steel Structural Material AMR (ANL-EBS-MD-000005) in accordance with the scope and schedule for existing agreement item CLST 1.12.				
221	TSPAI.3.04	Provide the technical basis that the representation of the variation of general corrosion rates (if a significant portion is “?lack of knowledge”? uncertainty) does not result in risk dilution of projected dose responses (ENG1.3.3). DOE will provide the technical basis that the representation of the variation of general corrosion rates results in reasonably conservative projected dose rates. The technical basis will be documented in an update to the WAPDEG Analysis of Waste Package and Drip Shield Degradation AMR (ANL-EBS-PA-000001). This AMR is expected to be available to NRC in FY 2003. These results will be incorporated into future TSPA documentation for any potential license application.	FY04 Q1	L	L	L
222	TSPAI.3.05	Provide the technical basis for the representation of uncertainty/variability in the general corrosion rates in revised documentation. This technical basis should provide a detailed discussion and analyses to allow independent reviewers the ability to interpret the representations of 100% uncertainty, 100% variability, and any intermediate representations in the DOE model (ENG1.3.6). DOE will provide the technical basis for the representation of uncertainty/variability in the general corrosion rates. This technical basis will include the results of 100% uncertainty, 100% variability, and selected intermediate representations used in the DOE model. These results will be documented in an update to the WAPDEG Analysis of Waste Package and Drip Shield Degradation AMR (ANL-EBS-PA-000001) or other document. This AMR is expected to be available to NRC in FY 2003.	FY04 Q1	L	L	M
223	TSPAI.3.06	Provide the technical basis for the methodology used to implement the effects of seismic effects on cladding in revised documentation. DOE will demonstrate that the methodology used to represent the seismic effects of cladding does not result in an underestimation of risk in the regulatory timeframe (ENG2.1.1). DOE will provide the technical basis for the methodology used to implement the effects of seismic effects on cladding in revised documentation. DOE will demonstrate that the methodology used to represent the seismic effects of cladding does not result in an underestimation of risk in the regulatory timeframe in TSPA-LA. The documentation is expected to be available to NRC in FY 2003.	FY03 Q3	L	L	L
224	TSPAI.3.07	Provide technical basis for representation of or the neglect of dripping from	FY03 Q4	M	H	H

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		rockbolts in the ECRB in performance assessment, including the impacts on hydrology, chemistry, and other impacted models. Appropriate consideration will be given to the uncertainties in the source of the moisture, and how those uncertainties impact other models (ENG3.1.1). DOE will provide technical basis for determination of future sources of water in the ECRB, will evaluate the possibility of preferential dripping from engineered materials, and will give appropriate consideration to the uncertainties of the water sources, as well as their potential impact on other models. The work done to date as well as the additional work will be documented in the AMR on In-Situ Field Testing Processes (ANL-NBS-HS-000005) or other documents. This AMR will be available to NRC in FY 2003. DOE will evaluate the role of condensation as a source of water and any impacts of this on hydrologic and chemical conitions in the drift, and DOE will document this work. The effects of condensation will be included in TSPA if found to be potentially important to performance.				
225	TSPAI.3.08	Provide the technical basis (quantification) for the abstraction of in-package chemistry and it'?s implementation into the TSPA which will demonstrate that the utilization of the weighted-moving-average methodology will not result in an underestimation of risk (ENG3.1.3). DOE will provide the technical basis (quantification) for the abstraction of in-package chemistry and its implementation into the TSPA, which will demonstrate that the implementation methodology will not result in an underestimation of risk. The technical basis will be documented in TSPA-LA and is expected to be available in FY 2003.	FY05 Q1	L	L	M
226	TSPAI.3.09	Provide the documentation that presents the representation of uncertainty and variability in the near-field environment abstractions in the TSPA (ENG3.1.4). DOE will present the representation of uncertainty and variability in water and gas chemistry entering the drift in the near-field environment abstractions for the TSPA. This will be documented in the Abstraction of Drift-Scale Coupled Processes (ANL-NBS-HS-000029) or other document expected to be available in FY 2003.	FY04 Q4	M	M	H
227	TSPAI.3.10	Provide the documentation of the integrated analyses and comprehensive uncertainty analyses related to the Physical and Chemical Environmental Abstraction Model (ENG3.1.5). DOE will provide the documentation of the integrated analyses and comprehensive uncertainty analyses related to the EBS physical and chemical environment in documentation associated with TSPA for any potential license application. The documentation is expected to be available to NRC in FY 2003.	FY05 Q1	M	M	H
228	TSPAI.3.11	DOE should account for appropriate integration between the 3D UZ flow model,	FY04 Q1	L	L	L

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		the MSTH model, and the drift seepage model. In particular, DOE should ensure that relevant spatial distributions are propagated appropriately between the UZ flow model, the thermohydrology model, and the seepage model (ENG3.1.6). DOE will compare the infiltration flux used for the infiltration bins with the 3D Unsaturated Zone flow model and the multi-scale thermohydrologic (MSTH) model results. The technical basis for any approximations in the spatial distribution of flow rates involved in this abstraction will be provided in Abstraction of NFE Drift Thermodynamic Environment and Percolation Flow AMR (ANL-EBS-HS-000003) or other suitable document. In particular, DOE will ensure that the MSTH model output to the seepage abstraction (or any other model that may provide percolation flux to the seepage abstraction) does not lead to underestimation of seepage. This AMR is expected to be available to NRC in FY 2003.				
229	TSPAI.3.12	DOE should complete testing of corrosion in the chemical environments predicted by the model or provide technical basis why it is not needed (ENG3.1.8). DOE will conduct testing of corrosion in the credible range of chemical environments predicted by the model in accordance with the scope and schedule for existing agreements CLST 1.4 and 1.6 or provide a technical basis why it is not needed.	FY03 Q3	H	M	H
230	TSPAI.3.13	Provide a comparison of the environments for corrosion predicted in the models, to the testing environments used to define empirical corrosion rates in revised documentation (ENG3.2.1). DOE will provide a comparison of the environments for corrosion predicted in the models, to the testing environments utilized to define empirical corrosion rates in revised documentation consistent with the scope and schedule for existing agreement item CLST 1.1.	FY03 Q3	M	L	L
231	TSPAI.3.14	DOE should account for the full range of environmental conditions for the in-package chemistry model (ENG4.1.1). DOE will update the in-package chemistry model to account for scenarios and their associated uncertainties required by TSPA. This will be documented in the In-Package Chemistry AMR (ANL-EBS-MD-000056) expected to be available to NRC in FY 2003.	FY03 Q4	M	M	H
232	TSPAI.3.15	Define a reference EQ3/6 database for the Yucca Mountain Project. DOE will provide documentation of all deviations from the reference database and justification for those deviations used by different geochemical modeling activities (ENG4.1.2). DOE will define a reference EQ3/6 database for the Yucca Mountain Project. DOE will provide documentation of all the deviations from the reference database and justification for those deviations used by different geochemical modeling activities. The database will be available in FY	Complete	L		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		2003.				
233	TSPAI.3.16	DOE should include the possibility of localized flow pathways in the engineered barrier system in TSPA calculations, including the influence of introduced materials on water and gas chemistry on these preferential flow pathways (ENG4.1.6). DOE will evaluate the effect of localized flow pathways on water and gas chemistry in the engineered barrier system as input to TSPA calculations, including the influence of introduced materials on these preferential flow pathways consistent with existing agreements ENFE 2.4, 2.5, and 2.6. This will be documented in an update to the Physical and Chemical Environment Model AMR (ANL-EBS-MD-000033) or other suitable document. This AMR is expected to be available to NRC in FY 2003.	FY03 Q4	M	H	H
234	TSPAI.3.17	Provide an uncertainty analysis of the diffusion coefficient governing transport of dissolved and colloidal radionuclides through the invert. The analysis should include uncertainty in the modeled invert saturation (ENG4.4.1). DOE will provide an uncertainty analysis of the diffusion coefficient governing transport of dissolved and colloidal radionuclides through the invert. The analysis will include uncertainty in the modeled invert saturation. The uncertainty analysis will be documented in the EBS Radionuclide Transport Abstraction AMR (ANL-WIS-PA-000001) expected to be available to NRC in FY 2003.	FY03 Q3	L	L	L
235	TSPAI.3.18	Provide a technical basis that the water-balance plug-flow model adequately represents the non-linear flow processes represented by Richard'?s equation, particularly over the repository where there is thin soil (UZ1.2.1). DOE will provide a technical basis that the water-balance plug-flow model adequately represents the non-linear flow processes represented by Richard'?s equation, particularly over the repository where there is thin soil. The technical basis will be documented in an update to the Simulation of Net Infiltration for Modern and Potential Future Climates AMR (ANL-NBS-HS-000032). The AMR is expected to be available to NRC in FY 2003.	In Process	L	L	L
236	TSPAI.3.19	DOE will provide justification for the use of its evapotranspiration model, and defend the use of the analog site temperature data (UZ1.3.1). DOE will provide justification for the use of the evapotranspiration model, and justify the use of the analog site temperature data. The justification will be documented in an update to the Simulation of Net Infiltration for Modern and Potential Future Climates AMR (ANL-NBS-HS-000032) and the Future Climate Analysis AMR (ANL-NBS-GS-000008). The AMRs are expected to be available to NRC in FY 2003.	In Process	L	L	L
237	TSPAI.3.20	Provide access to data supporting the synthetic meteorologic records (4JA.s01	Complete	L		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		and Area12.s01) (UZ1.3.2). DOE will provide data supporting the synthetic meteorologic records (specifically, data files 4JA.s01 and Area12.s01). These data files will be provided to NRC September 2001.				
238	TSPAI.3.21	Demonstrate that effects of near surface lateral flow on the spatial variability of net infiltration are appropriately considered (UZ1.5.1). DOE will demonstrate that effects of near surface lateral flow on the spatial variability of net infiltration are appropriately considered in an update to the Simulation of Net Infiltration for Modern and Potential Future Climates AMR (ANL-NBS-HS-000032) and UZ Flow Models and Submodels AMR (MDL-NBS-HS-000006). These AMRs are expected to be available to NRC in FY 2003.	In Process	L	L	L
239	TSPAI.3.22	Provide an assessment or discussion of the uncertainty involved with using a hydrologic property set obtained by calibrating a model on current climate conditions and using that model to forecast flow for future climate conditions (UZ2.3.1). DOE will provide an assessment or discussion of the uncertainty involved with using a hydrologic property set obtained by calibrating a model on current climate conditions and using that model to forecast flow for future climate conditions. This assessment will be documented in the UZ Flow Models and Submodels AMR (MDL-NBS-HS-000006) expected to be available to NRC in FY 2003.	In Process	L	L	M
240	TSPAI.3.23	DOE should evaluate spatial heterogeneity of hydrologic properties within hydrostratigraphic units and the effect this heterogeneity has on model results of unsaturated flow, seepage into the drifts and transport. DOE should also provide a technical basis for the assessment that bomb-pulse Cl-36 data found below the Paint Brush tuff can be linked to a negligible amount of fast flowing water (UZ2.3.2). DOE will evaluate spatial heterogeneity of hydrologic properties within hydrostratigraphic units and the effect this heterogeneity has on model results of unsaturated flow, seepage into the drifts and transport. This evaluation will be documented in the UZ Flow Models and Submodels AMR (MDL-NBS-HS-000006), Radionuclide Transport Models under Ambient Conditions (MDL-NBS-HS-000008) and Seepage Models for PA Including Drift Collapse AMR (MDL-NBS-HS-000002) expected to be available to NRC in FY 2003. DOE will also provide a technical basis for the assessment that bomb-pulse Cl36 data found below the PTn can be linked to a negligible amount of fast flowing water. The technical basis will be documented in the UZ Flow Models and Submodels AMR (MDL-NBS-HS-000006) expected to be available to NRC in FY 2003.	Complete	L		
241	TSPAI.3.24	Provide the analysis of geochemical and hydrological data (water content, water	FY04 Q3	M	M	M

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		potential, and temperature) used for support of the flow field below the repository, particularly in the Calico Hills, Prow Pass, and Bullfrog hydrostratigraphic layers. Demonstrate that potential bypassing of matrix flow pathways below the area of the proposed repository, as opposed to the entire site-scale model area, is adequately incorporated for performance assessment, or provide supporting analyses that the uncertainties are adequately included in the TSPA (UZ2.3.3). DOE will provide an analysis of available geochemical and hydrological data (water content, water potential, and temperature) used for support of the flow field below the repository, particularly in the Calico Hills, Prow Pass, and Bullfrog hydrostratigraphic layers. The analyses will demonstrate that potential bypassing of matrix flow pathways below the area of the proposed repository, as opposed to the entire site-scale model area, is adequately incorporated for performance assessment, or provide supporting analyses that the uncertainties are adequately included in the TSPA. These analyses will be documented in the UZ Flow Models and Submodels AMR (MDL-NBS-HS-000006), In-Situ Field Testing of Processes AMR (ANL-NBS-HS-000005), and Calibrated Properties Model AMR (MDL-NBS-HS-000003) expected to be available to NRC in FY 2003.				
242	TSPAI.3.25	DOE should use the Passive Cross Drift Hydrologic test, the Alcove 8 - Niche 3 tests, the Niche 5 test, and other test data to either provide additional confidence in or a basis for revising the TSPA seepage abstraction and associated parameter values (e.g., flow focusing factor, van Genuchten alpha for fracture continuum, etc.), or a provide technical basis for not using it (UZ2.3.4). DOE will utilize field test data (e.g., the Passive Cross Drift Hydrologic test, the Alcove 8 - Niche 3 tests, the Niche 5 test, and other test data) to either provide additional confidence in or a basis for revising the TSPA seepage abstraction and associated parameter values (e.g., flow focusing factor, van Genuchten alpha for fracture continuum, etc.), or provide technical basis for not using it. This will be documented in Seepage Calibration Model and Seepage Testing Data AMR (MDL-NBS-HS-000004) expected to be available to NRC in FY 2003.	FY04 Q1	M	M	M
243	TSPAI.3.26	Calibrate the UZ flow model using the most recent data on saturations and water potentials, and clearly document the sources of calibration data and data collection methods (UZ2.3.5). DOE will calibrate the UZ flow model using the most recent data on saturations and water potentials, and document the sources of calibration data and data collection methods. The results will be documented in the Calibrated Properties Model AMR (MDL-NBS-HS-000003)	FY03 Q4	L	L	L

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		expected to be available to NRC in FY 2003.				
244	TSPAI.3.27	Provide an overview of water flow rates used in the UZ model above and below the repository, in the MSTHM, in the seepage abstraction, and in the in-drift flow path models, to ensure appropriate integration between the various models (UZ2.TT.3). DOE will provide an overview of water flow rates used in the UZ model above and below the repository, in the Multi-Scale Thermohydrologic Model (MSTHM), in the seepage abstraction, and in the in drift flow path models, to ensure appropriate integration between the various models. This will be documented in the TSPA for any potential license application expected to be available to NRC in FY 2003.	FY04 Q2	L	L	L
245	TSPAI.3.28	DOE needs to provide independent lines of evidence to provide additional confidence in the use of the active-fracture continuum concept in the transport model (UZ3.5.1). DOE will provide independent lines of evidence to provide additional confidence in the use of the active fracture continuum concept in the transport model. This will be documented in Radionuclide Transport Models under Ambient Conditions AMR (MDL-NBS-HS-000008) and UZ Flow Models and Submodels AMR (MDL-NBS-HS-000006) expected to be available to NRC in FY 2003.	FY04 Q1	M	L	M
246	TSPAI.3.29	Provide verification that the integration of the active fracture model with matrix diffusion in the transport model is properly implemented in the TSPA abstraction (UZ3.TT.3). DOE will provide verification that the integration of the active fracture model with matrix diffusion in the transport model is properly implemented in the TSPA abstraction. This verification will be documented in the Particle Tracking Model and Abstraction of Transport Processes (ANL-NBS-HS-000026) expected to be available to NRC in FY 2003.	FY03 Q4	M	L	M
247	TSPAI.3.30	Provide the technical basis for the contrasting concentrations of colloids available for reversible attachment in the engineered barrier system and the saturated zone. Sensitivity analyses planned in response to RT Agreement 3.07 should address the effect of colloid concentration on Kc. Update, as necessary, the Kc parameter as new data become available from the Yucca Mountain region (SZ2.3.1). DOE will provide the technical basis for the contrasting concentrations of colloids available for reversible attachment in the engineered barrier system and the saturated zone. The sensitivity analyses planned in response to RT Agreement 3.07 will address the effect of colloid concentration on the Kc parameter. The technical basis will be documented in the Waste Form Colloid Associated Concentration Limits: Abstractions and Summary (ANL-WIS-MD-000012) in FY 2003. The Kc parameter will be updated as new data	FY04 Q2	M	M	M

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		become available from the Yucca Mountain region in the Uncertainty Distribution for Stochastic Parameters AMR (ANL-NBS-MD-000011) in FY2003.				
248	TSPAI.3.31	Evaluate the effects of temporal changes in saturated zone chemistry on radionuclide concentrations (SZ2.3.2). DOE will reexamine the FEPs, currently included in the performance assessment, that may lead to temporal changes in saturated zone hydrochemistry. If the DOE determines that these FEPs can be excluded, the results will be documented in the FEP Saturated Zone Flow and Transport AMR (ANL-NBS-MD-000002) in FY 2003. If the DOE determines that these FEPs cannot be excluded from the performance assessment, the DOE will evaluate the effects of temporal changes in the saturated zone chemistry on radionuclide concentrations and will document this evaluation in above mentioned AMR.	FY04 Q1	L	L	M
249	TSPAI.3.32	Provide the technical basis that the representation of uncertainty in the saturated zone as essentially all lack-of-knowledge uncertainty (as opposed to real sample variability) does not result in an underestimation of risk when propagated to the performance assessment (SZ2.4.1). DOE will provide the technical basis that the representation of uncertainty (i.e., lack-of-knowledge uncertainty) in the saturated zone does not result in an underestimation of risk when propagated to the performance assessment. A deterministic case from Saturated Zone Flow Patterns and Analyses AMR (ANL-NBS-HS-000038) will be compared to TSPA analyses. The comparison will be documented in the TSPA for any potential license application expected to be available to NRC in FY 2003.	FY05 Q1	M	L	L
250	TSPAI.3.33	Provide justification that the Kd values used for radionuclides in the soil in Amargosa valley based on the results of a literature review are realistic or conservative for actual conditions at the receptor location (DOSE2.2.1). DOE will provide justification that the Kd values used for radionuclides in the soil in Amargosa Valley are realistic or conservative for actual conditions at the receptor location. The justification will be provided in Evaluate Soil/Radionuclide Removal by Erosion and Leaching AMR (ANL-NBS-MD-000009) or other document expected to be available to NRC in FY 2003.	FY04 Q1	L	L	L
251	TSPAI.3.34	For the Radionuclides that dominate the TSPA dose, provide the technical basis for selection of Radionuclide or element specific biosphere parameters that are important in the BDCF calculations (e.g. soil to plant transfer factors) (DOSE3.2.1). For the radionuclides that dominate the TSPA dose, DOE will provide the technical basis for selection of radionuclide or element specific biosphere parameters (except for Kds which are addressed in TSPAI 3.33) that	FY03 Q4	L	M	M

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		are important in the BDCF calculations (e.g. soil to plant transfer factors). The technical basis will be documented in the Transfer Coefficient Analysis AMR (ANL-MGR-MD-000008) or other document and is expected to be available to NRC in FY 2003.				
252	TSPAI.3.35	Provide additional justification to support that the assumed crop interception fraction is appropriate for all radionuclides considered and does not result in underestimations of dose. Discussions should address the impacts of electrostatic charge and particle size on the interception fraction for all radionuclides considered in the TSPA (DOSE3.2.5). DOE will provide additional justification to support that the assumed crop interception fraction is appropriate for all radionuclides that dominate the TSPA dose and does not result in underestimations of dose. The justification will include the impacts of electrostatic charge and particle size on the interception fraction. This justification will be documented in Identification of Ingestion Exposure Parameters (ANL-MGR-MD-000006) or other document expected to be available to NRC in FY 2003.	FY03 Q4	L	L	L
253	TSPAI.3.36	Document the methodology that will be used to incorporate the uncertainty in soil leaching factors into the TSPA analysis, if that uncertainty is found to be important to the results of the performance assessment (DOSE3.3.1). DOE will document the methodology used to incorporate the uncertainty in soil leaching factors into the TSPA analysis. This will be documented in Nominal Performance Biosphere Dose Conversion Factor Analysis AMR (ANL-MGR-MD-000009), Disruptive Event Biosphere Dose Conversion Factor Analysis (ANL-MGR-MD-000003) or other document expected to be available to NRC in FY 2003.	FY03 Q4	L	L	L
254	TSPAI.3.37	Provide a quantitative analysis that the sampling method including the correlations to NP used by the TSPA code to abstract the GENII-S process model code adequately represent the uncerrtainty and variability and correlations for the biosphere process model (DOSE3.4.1). DOE will provide a quantitative analysis that the sampling method including the correlations between BDCFs utilized by the TSPA code to abstract the GENII-S process model data adequately represent the uncertainty and variability and correlations for the biosphere process model. This will be documented in Nominal Performance Biosphere Dose Conversion Factor Analysis AMR (ANL-MGR-MD-000009), Disruptive Event Biosphere Dose Conversion Factor Analysis (ANL-MGR-MD-000003) or other document expected to be available to NRC in FY 2003. Results of these analyses will be documented in the TSPA for any	FY04 Q3	L	M	L

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		potential license application expected to be available to NRC in FY 2003.				
255	TSPAI.3.38	DOE will develop guidance in the model abstraction process that can be adhered to by all model developers so that (1) the abstraction process, (2) the selection of conservatism in components, and (3) representation of uncertainty are systematic across the TSPA model. DOE will evaluate and define approaches to deal with: (1) evaluating non-linear models as to what their most conservative settings may be if conservatism is being used to address uncertainty, and (2) trying to utilize human intuition in a complex system. In addition, DOE will consider adding these items to the internal/external reviewer'?s checklists to ensure proper implementation of the improved methodology (TSPA0002). DOE will develop written guidance in the model abstraction process for model developers so that (1) the abstraction process, (2) the selection of conservatism in components, and (3) representation of uncertainty, are systematic across the TSPA model. These guidelines will address: (1) evaluation of non-linear models when conservatism is being utilized to address uncertainty, and (2) utilization of decisions based on technical judgement in a complex system. These guidelines will be developed, implemented, and be made available to the NRC in FY 2002.	In Process	H	M	M
256	TSPAI.3.39	In future performance assessments, DOE should document the simplifications used for abstractions per TSPAI.3.38 activities. Justification will be provided to show that the simplifications appropriately represent the necessary processes and appropriately propagate process model uncertainties. Comparisons of output from process models to performance assessment abstractions will be provided, with the level of detail in the comparisons commensurate with any reduction in propagated uncertainty and the risk significance of the model (TSPA0003). DOE will document the simplifications utilized for abstractions per TSPAI.3.38 activities for all future performance assessments. Justification will be provided to show that the simplifications appropriately represent the necessary processes and appropriately propagate process model uncertainties. Comparisons of output from process models to performance assessment abstractions will be provided, with the level of detail in the comparisons commensurate with any reduction in propagated uncertainty and the risk significance of the model. The documentation of the information will be provided in abstraction AMRs in FY 2003.	In Process	M	M	M
257	TSPAI.3.40	DOE will implement effective controls to ensure that the abstractions defined in the AMR'?s are consistently propagated into the TSPA, or ensure that the TSPA documentation describes any differences. Specific examples of needed	Complete	L		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		revisions (if still applicable) include: (1) the implementation of flux splitting in the TSPA model, (2) the propagation of thermohydrology uncertainty/variability into the WAPDEG corrosion model calculations, and (3) the implementation of the in-package chemistry abstraction. DOE will implement program improvements to ensure that the abstractions defined in the AMRs are consistently propagated into the TSPA, or ensure that the TSPA documentation describes any differences. Program improvements may include, for example, upgrades to work plans, procedural upgrades, preparation of desktop guides, worker training, increased review and oversight. The program improvements will be implemented and be made available to the NRC during FY 2002.				
258	TSPAI.3.41	To provide support for the mathematical representation of data uncertainty in the TSPA, the DOE will provide technical basis for the data distributions used in the TSPA. An example of how this may be accomplished is the representation on a figure or chart of the data plotted as an empirical distribution and the probability distribution assigned to fit these data. DOE will provide the technical basis for the data distributions utilized in the TSPA to provide support for the mathematical representation of data uncertainty in the TSPA. The documentation of the technical basis will be incorporated in documentation associated with TSPA for any potential license application. The documentation is expected to be available to NRC in FY 2003.	In Process	H	M	L
259	TSPAI.3.42	DOE should provide a sensitivity analysis on the potentially abrupt changes in colloid concentrations due to shifts in modeled pH and ionic strength across uncertain stability boundaries. This analysis may be combined with plans to address ENFE Agreement 4.06 and RT Agreement 3.07. DOE will complete sensitivity analyses to investigate the effects of varying colloid concentration due to shifts in model predicted pH and ionic strength across uncertain stability boundaries. These analyses will be documented in TSPA for any potential license application expected to be available to NRC in FY 2003.	FY05 Q1	M	M	M
260	TSPAI.4.01	DOE will document the methodology that will be used to incorporate alternative conceptual models into the performance assessment. The methodology will ensure that the representation of alternative conceptual models in the TSPA does not result in an underestimation of risk. DOE will document the guidance given to process-level experts for the treatment of alternative models. The implementation of the methodology will be sufficient to allow a clear understanding of the potential effect of alternative conceptual models and their associated uncertainties on the performance assessment. The methodology will be documented in the TSPA-LA methods and assumptions document in FY02.	In Process	M	H	H

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		The results will be documented in the appropriate AMRs or the TSPA for any potential license application in FY 2003.				
261	TSPAI.4.02	DOE will provide the documentation that supports the representation of distribution coefficients (Kd'?s) in the performance assessment as uncorrelated is consistent with the physical processes and does not result in an underestimation of risk. This will be documented in the TSPA for any potential license application in FY03.	FY05 Q1	L	L	L
262	TSPAI.4.03	DOE will document the method that will be used to demonstrate that the overall results of the TSPA are stable. DOE will provide documentation that submodels (including submodels used to develop input parameters and transfer functions) are also numerically stable. DOE will address in the method the stability of the results with respect to the number of realizations. DOE will describe in the method the statistical measures that will be used to support the argument of stability. The method will be documented in TSPA LA Methods and Assumptions Document in FY02. The results of the analyses will be provided in the TSPA (or other appropriate documentation) for any potential license application in FY 2003.	FY05 Q1	L	M	M
263	TSPAI.4.04	DOE will conduct appropriate analyses and provide documentation that demonstrates the results of the performance assessment are stable with respect to discretization (e.g. spatial and temporal) of the TSPA model. This will be documented in the TSPA for any potential license application in FY 2003.	FY05 Q1	L	M	M
264	TSPAI.4.05	DOE will document the process used to develop confidence in the TSPA models (e.g., steps similar to those described in NUREG-1636). The detailed process is currently documented in the model development procedures that are being evaluated for process improvement in response to the model validation corrective action report CAR-BSC-01-C-001. The upgraded model validation procedures will be available for NRC review in FY 2002.	Complete	H		
265	TSPAI.4.06	DOE will document the implementation of the process for model confidence building and demonstrate compliance with model confidence criteria in accordance with the applicable procedures. This will be documented in the respective AMR revisions and made available to NRC in FY 2003.	FY05 Q1	H	H	M
266	TSPAI.4.07	DOE's software qualification requirements are currently documented in procedure AP SI.1Q which is under review for process improvement as part of software CAR-BSC-01-C-002. During its review of AP SI.1Q, DOE will consider: 1) the procedure it would follow to conduct a systematic and uniform verification — all areas of a code analyzed at a consistent level, 2) the process it would follow to ensure correct implementation of algorithms, and 3) the process it	In Process	L	H	M

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		would follow for the full disclosure of calculations and results. DOE will document compliance with the improved process in the verification documentation required by AP SI.1Q. Software qualification record packages for the affected programs will be available for NRC review in FY 2003.				
267	USFIC.3.01	Provide the documentation sources and schedule for the Monte Carlo method for analyzing infiltration. DOE will provide the schedule and identify documents expected to contain the results of the Monte Carlo analyses in February 2002.	In Process	M	L	L
268	USFIC.3.02	Provide justification for the parameters in Table 4-1 of the Analysis of Infiltration Uncertainty AMR (for example, bedrock permeability in the infiltration model needs to be reconciled with the Alcove 1 results/observations. Also, provide documentation (source, locations, tests, test results) for the Alcove 1 and Pagany Wash tests. DOE will provide justification and documentation in a Monte Carlo analyses document. The information will be available in February 2002.	In Process	M	L	L
269	USFIC.4.01	The ongoing and planned testing are a reasonable approach for a licensing application with the following comments: (i) consider a mass balance of water for alcove 8/Niche 3 cross over test; (ii) monitor evaporation during all testing; (iii) provide the documentation of the test plan for the Passive Cross Drift Hydrologic test; (iv) provide the NRC with any Cross Drift seepage predictions that may have been made for the Passive Cross Drift Hydrologic test; (v) provide documentation of the results obtained and the analysis for the Passive Cross Drift Hydrologic test. This documentation should include the analysis of water samples collected during entries into the Cross Drift (determination whether the water comes from seepage or condensation); (vi) provide documentation of the results obtained and the analysis for the Alcove 7 test. This documentation should include the analysis of water samples collected during entries into Alcove 7 (determination whether the water comes from seepage or condensation); (vii) provide the documentation of the test plan for the Niche 5 test; (viii) provide documentation of the results obtained and the analysis for the Niche 5 test; (ix) provide documentation of the results obtained and the analysis for the Systematic Hydrologic Characterization test; (x) provide documentation of the results obtained and the analysis for the Niche 4 test; and (xi) provide documentation of the results obtained from the calcite filling test. Include interpretation of the observed calcite deposits found mostly at the bottom of the lithophysal cavities. DOE stated that: (1) a mass balance of water for the Alcove 8/Niche 3 test has been considered, but is not feasible due to the size of the collection system that would be required. A collection system to	FY04 Q3	M	H	H

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		obtain a mass balance is being developed for the Niche 5 test (i); (2) evaporation will be monitored for all tests where evaporation is a relevant process (ii); (3) test plans for Niche 5 and the Cross Drift Hydrologic tests are expected to be available to NRC FY 2002 (iii, vii); (4) the Cross Drift seepage predictions will be documented in the Seepage Calibration Model and Seepage Testing Data AMR (MDL-NBS-HS-000004) expected to be available to NRC by FY 2003 (iv); (5) DOE will document the results for the tests identified above (except calcite filling observations) in the In-Situ Field Testing of Processes AMR (ANL-NBS-HS-000005) expected to be available to NRC in FY 2003 (v), (vi), (viii),(ix),(x); (6) results of the calcite filling observations will be documented in Analysis of Geochemical Data for the Unsaturated Zone (ANL-NBS-HS-000017) and the UZ Flow Models and Submodels (MDL-NBS-HS-000006) expected to be available to NRC FY 2003 (xi).				
270	USFIC.4.02	Include the effect of the low-flow regime processes (e.g., film flow) in DOE'?s seepage fraction and seepage flow, or justify that it is not needed. DOE will include the effect of the low-flow regime processes (e.g., film flow) in the seepage fraction and seepage flow, or justify that it is not needed. These studies will be documented in Seepage Models for PA Including Drift Collapse AMR (MDL-NBS-HS-000002) expected to be available to NRC in FY 2003.	FY03 Q3	L	M	M
271	USFIC.4.03	When conducting seepage studies, consider smaller scale tunnel irregularities in drift collapse or justify that it is not needed. When conducting seepage studies, DOE will consider smaller scale tunnel irregularities in drift collapse or justify that it is not needed. These studies will be documented in Seepage Models for PA Including Drift Collapse AMR (MDL-NBS-HS-000002) expected to be available to NRC in FY 2003.	FY03 Q3	M	M	M
272	USFIC.4.04	Provide final documentation for the effectiveness of the PTn to dampen episodic flow, including reconciling the differences in chloride-36 studies. DOE will provide final documentation for the effectiveness of the PTn to dampen episodic flow, including reconciling the differences in chlorine-36 studies These studies will be documented in UZ Flow Models and Submodels AMR (MDL-NBS-HS-000006) expected to be available to NRC in FY 2003.	FY04 Q3	M	L	L
273	USFIC.4.05	Provide the analysis of geochemical data used for support of the flow field below the repository.	Complete	L		
274	USFIC.4.06	Provide documentation of the results obtained from the Comparison of Continuum and Discrete Fracture Network Models modeling study. Alternatively, provide justification of the continuum approach at the scale of the seepage model grid (formerly June 20 letter, item xiii). DOE will provide	FY04 Q3	L	M	M

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		documentation of the results obtained from the Comparison of Continuum and Discrete Fracture Network Models modeling study or provide justification of the continuum approach at the scale of the seepage model grid. This will be documented in Seepage Calibration Model and Seepage Testing Data AMR (MDL-NBS-HS-000004) or other suitable document expected to be available to NRC in FY 2003.				
275	USFIC.4.07	Provide documentation of the results obtained from the Natural Analogs modeling study. The study was to apply conceptual models and numerical approaches developed from Yucca Mountain to natural analog sites with observations of seepage into drifts, drift stability, radionuclide transport, geothermal effects, and preservation of artifacts. DOE will provide documentation of the results obtained from the Natural Analogs modeling study. The study was to apply conceptual models and numerical approaches developed from Yucca Mountain to natural analog sites with observations of seepage into drifts, drift stability, radionuclide transport, geothermal effects, and preservation of artifacts. This will be documented in the Natural Analogs for the Unsaturated Zone AMR (ANL-NBS-HS-000007) expected to be available to NRC FY 2002.	Complete	L		
276	USFIC.5.01	The NRC believes that the incorporation of horizontal anisotropy in the site scale model should be reevaluated to ensure that a reasonable range for uncertainty is captured. The data from the C-wells testing should provide a technical basis for an improved range. As part of the C-wells report, DOE should include an analysis of horizontal anisotropy for wells that responded to the long-term tests. Results should be included for the tuffs in the calibrated site scale model. DOE will provide the results of the requested analyses in C-wells report(s) in October 2001, and will carry the results forward to the site-scale model, as appropriate.	FY04 Q2	L	M	L
277	USFIC.5.02	Provide the update to the SZ PMR, considering the updated regional flow model. A revision to the Saturated Zone Flow and Transport PMR is expected to be available and will reflect the updated United States Geological Survey (USGS) Regional Groundwater Flow Model in FY 2002, subject to receipt of the model report from the USGS (reference item 9).	FY04 Q2	L	L	L
278	USFIC.5.03	DOE'?s outline for collecting data in the alluvium appears reasonable but lacks detail. Provide a detailed testing plan for alluvial testing to reduce uncertainty (for example, the plan should give details about hydraulic and tracer tests at the well 19 complex and it should also identify locations for alluvium complex testing wells and tests and logging to be performed). NRC will review the plan and provide comments, if any, for DOE'?s consideration. In support and preparation	Complete	L		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		for this meeting, DOE provided work plans for the Alluvium Testing Complex and the Nye County Drilling Program (FWP-SBD-99-002, Alluvial Tracer Testing Field Work Package, and FWP-SBD-99-001, Nye County Early Warning Drilling Program, Phase II and Alluvial Testing Complex Drilling). DOE will provide test plans of the style of the Alcove 8 plan as they become available. In addition, the NRC On Site Representative attends DOE/Nye County planning meetings and is made aware of all plans and updates to plans as they are made.				
279	USFIC.5.04	Provide additional information to further justify the uncertainty distribution of flow path lengths in the alluvium. This information currently resides in the Uncertainty Distribution for Stochastic Parameters AMR. DOE will provide additional information, to include Nye County data as available, to further justify the uncertainty distribution of flowpath lengths in alluvium in updates to the Uncertainty Distribution for Stochastic Parameters AMR and to the Saturated Zone Flow and Transport PMR, both expected to be available in FY 2002.	FY04 Q2	M	M	L
280	USFIC.5.05	Provide the hydro-stratigraphic cross-sections that include the Nye County data. DOE will provide the hydrostratigraphic cross sections in an update to the Hydrogeologic Framework Model for the Saturated Zone Site-Scale Flow and Transport Model AMR expected to be available during FY 2002, subject to availability of the Nye County data.	In Process	L	L	M
281	USFIC.5.06	Provide a technical basis for residence time (for example, using C-14 dating on organic carbon in groundwater from both the tuffs and alluvium). DOE will provide technical basis for residence time in an update to the Geochemical and Isotopic Constraints on Groundwater Flow Directions, Mixing, and Recharge at Yucca Mountain, Nevada AMR during FY 2002.	FY04 Q1	L	L	M
282	USFIC.5.07	Provide all the data from SD-6 and WT-24. Some of this data currently resides in the Technical Data Management System, which is available to the NRC and CNWRA staff. DOE will include any additional data from SD-6 and WT-24 in the Technical Data Management System in February 2001.	Complete	L		
283	USFIC.5.08	Taking into account the Nye County information, provide the updated potentiometric data and map for the regional aquifer, and an analysis of vertical hydraulic gradients within the site scale model. DOE will provide an updated potentiometric map and supporting data for the uppermost aquifer in an update to the Water-Level Data Analysis for the Saturated Zone Site-Scale Flow and Transport Model AMR expected to be available in October 2001, subject to receipt of data from the Nye County program. Analysis of vertical hydraulic gradients will be addressed in the site-scale model and will be provided in the Calibration of the Site-Scale Saturated Zone Flow Model AMR expected to be	In Process	L	M	M

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
		available during FY 2002.				
284	USFIC.5.09	Provide additional information in an updated AMR or other document for both the regional and site scale model (for example, grid construction, horizontal and vertical view of the model grid, boundary conditions, input data sets, model output, and the process of model calibration). The updated USGS Regional Groundwater Flow Model is a USGS Product, not a Yucca Mountain Site Characterization Project product. It is anticipated that this document will be available in September 2001. DOE believes that the requested information is now available in the current version of the Calibration of the Site-Scale Saturated Zone Flow Model AMR and will be carried forward in future AMR revisions.	Complete	L		
285	USFIC.5.10	Provide in updated documentation of the HFM that the noted discontinuity at the interface between the GFM and the HFM does not impact the evaluation of repository performance. DOE will evaluate the impact of the discontinuity between the Geologic Framework Model and the Hydrogeologic Framework Model on the assessment of repository performance and will provide the results in an update to the Hydrogeologic Framework Model for the Saturated-Zone Site-Scale Flow and Transport Model AMR during FY 2002.	FY04 Q1	L	L	L
286	USFIC.5.11	In order to test an alternative conceptual flow model for Yucca Mountain, run the SZ flow and transport code assuming a north-south barrier along the Solitario Canyon fault whose effect diminishes with depth or provide justification not to. DOE will run the saturated zone flow and transport model assuming the specified barrier and will provide the results in an update to the Calibration of the Site-Scale Saturated Zone Flow Model AMR expected to be available during FY 2002.	In Process	L	L	L
287	USFIC.5.12	Provide additional supporting arguments for the Site-Scale Saturated Zone Flow model validation or use a calibrated model that has gone through confidence building measures. The model has been calibrated and partially validated in accordance with AP 3.10Q, which is consistent with NUREG-1636. Additional confidence-building activities will be reported in a subsequent update to the Calibration of the Site-Scale Saturated Zone Flow Model AMR, expected to be available during FY 2002.	FY03 Q4	L	M	M
288	USFIC.5.13	Provide the evaluation of the ongoing fluid inclusion studies (for example, UNLV, State of Nevada, and USGS). DOE'?s consideration of the fluid inclusion studies will be documented in an update to the Saturated Zone Flow and Transport PMR expected to be available in FY 2002, subject to availability of the studies.	Complete	L		

	Agreement	NRC/DOE Agreement	Anticipated Submittal Date	Risk Significance	Staff Effort	Technical Difficulty
289	USFIC.5.14	Provide the updated SZ FEPs AMR. DOE will provide the updated Features, Events, and Processes in Saturated Zone Flow and Transport AMR in February 2001.	Complete	L		
290	USFIC.6.01	The DOE will provide the final sensitivity analysis on matrix diffusion (for UZ) in the TSPA-SR, Rev. 0. Due date: December 2000. The saturated zone information will be available in TSPA-SR, Rev.1, expected to be available in June 2001.	Complete	L		
291	USFIC.6.02	The DOE will provide the final detailed testing plan for Alcove 8. The testing plan will be provided by August 28, 2000. The NRC staff will provide comments, if any, no later than two weeks after receiving the testing plan.	Complete	M		
292	USFIC.6.03	The DOE will complete the Alcove 8 testing, taking into consideration the NRC staff comments, if any, and document the results in a DOE-approved AMR, due date: May 2001.	FY04 Q3	M	M	M
293	USFIC.6.04	Provide the documentation for the C-wells testing. Use the field test data or provide justification that the data from the laboratory tests is consistent with the data from the field tests. DOE will provide the C-wells test documentation and will either use the test data or provide a justified reconciliation of the lab and field test data in C-wells document(s) in October 2001.	Complete	L		